Banach Frames in the context of Banach Gelfand Triples

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ERSKINE Fellow at University of Canterbury, 2010 July/August 2010, talk in Auckland: August 5th, 2010



Main These: generating systems >> frames

- Frames for finite dimensional spaces are just generating systems (which of course may have some redundancy);
- ② For Hilbert spaces *H* they are just those spanning systems, where an additional stability of the representation is required (guaranteeing that every element in *H* has a series representation with ℓ²-coefficients);
- The usual way is to formulate this in terms of a norm equivalence (between an element and its *frame coefficients*);
- For Banach spaces it is better to require a commutative diagram (which only implies a certain norm equivalence);

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Gilbert Strang's FOUR SPACES

Let us recall the standard linear algebra situation. Given some $m \times n$ -matrix **A** we view it as a collection of *column* resp. as a collection of *row vectors*. They span the so-called *column* and the *row-spaces*, and it is one of the main claims of early linear algebra that they have equal dimension. Usually known as

$\mathsf{row}\mathsf{-}\mathsf{rank}(\mathsf{A}) = \mathsf{column}\mathsf{-}\mathsf{rank}(\mathsf{A})$

Recalling that fact that each linear equation of a *homogeneous* linear system of equations can be expressed in the form of scalar products¹ we find that

$Null(A) = Rowspace(A)^{\perp}$

and of course (by reasons of symmetry) for $\mathbf{A}' = conj(A^t)$:

$$Null(A') = Colspace(A)^{\perp}$$

¹Think of 3x + 4y + 5z = 0 is just another way to say that the vector **Number** $\mathbf{x} = [x, y, z]$ satisfies $\langle \mathbf{x}, [3, 4, 5] \rangle = 0$. Hans **G**, Feichtinger Banach Frames in the context of Banach Gelfand Triples



Since *clearly* the restriction of the linear mapping $x \mapsto \mathbf{A} * x$



Geometric interpretation of matrix multiplication



$$T = \widetilde{T} \circ P_{Row}, \quad pinv(T) = inv(\widetilde{T}) \circ P_{Col}.$$



The **SVD** (the so-called Singular Value Decomposition) of a matrix, described in the MATLAB helpful as a way to write A as

$$A = U * S * V'$$

, where the columns of U form an ON-Basis in \mathbb{R}^m and the columns of V form an ON-basis for \mathbb{R}^n , and S is a (rectangular) diagonal matrix containing the non-negative *singular values* (σ_k) of A. We have $\sigma_1 \ge \sigma_2 \dots \sigma_r > 0$, for r = rank(A), while $\sigma_s = 0$ for s > r. In standard description we have for A and $pinv(A) = A^+$:

$$A * x = \sum_{k=1}^{r} \sigma_k \langle x, v_k \rangle u_k, \quad A^+ * y = \sum_{k=1}^{r} \frac{1}{\sigma_k} \langle y, u_k \rangle v_k.$$

The Four Spaces are well known from LINEAR ALGEBRA, e.g. in the dimension formulas:

ROW-Rank of A equals COLUMN-Rank of A.

The defect (i.e. the dimension of the Null-space of A) plus the dimension of the range space of A (i.e. the column space of A) equals the dimension of the domain space \mathbb{R}^n . Or in terms of linear, homogeneous equations: The dimension of set of all solution to the homogeneous linear equations equals the number of variables minus the dimension of the column space of A. The SVD also shows, that the *isomorphism between the Row-space and the Column-space* can be described by a diagonal matrix, if suitable orthonormal basis for these spaces are used.

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We can describe the quality of the isomorphism \overline{T} by looking at its condition number, which is σ_1/σ_r , the so-called **Kato-condition** number of T.

It is not surprising that for **normal matrices** with A' * A = A * A' one can even have diagonalization, i.e. one can choose U = V, because

$$Null(A) =_{always} Null(A' * A) = Null(A * A') = Null(A').$$

The most interesting cases appear if a matrix has maximal rank, i.e. if $rank(\mathbf{A}) = min(m, n)$, or equivalently if one of the two Null-spaces is trivial. Then we have either linear independent columns of \mathbf{A} (injectivity of T >> RIESZ BASIS for subspaces) or the columns of \mathbf{A} span all of \mathbb{R}^m (i.e. $Null(A') = \{0\}$): FRAME SETTING!

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The FRAME setting has such a diagram,



while RIESZ BASIC SEQUENCES the diagram looks as follows:



For the setting of Hilbert spaces on can take the same diagram and find out that in fact, every identification of an abstract Hilbert space \mathcal{H} with a closed (!) subspace allows to establish such a diagram, with the appropriate orthogonal decompositions within Hilbert spaces. Riesz Bases are essentially the same (with the opposite direction of view: $\ell^2(I)$ is embedded into a (larger) Hilbert space, e.g. by taking linear combinations of cubic B-splines one obtains spline functions in $L^2(\mathbb{R}^d)$.

The *closedness* of the range of embedding is not automatic anymore, but can be described equivalently by the known norm inequalities (both for the frame case and the characterization of Riesz basic sequences in an Hilbert space).

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Irregular Sampling in Spline Type Spaces



In the work "Describing functions: Frames versus atomic decompositions" (based on earlier joint work on the so-called coorbit theory) has introduced the concept of a Banach Frame essentially by turning the (frame) diagram above into a definition. In other words, it is required that there should be a Banach space of sequences (taking the role of $\ell^2(I)$ for the Hilbert space case) GOOD: he requires not only the NORM equivalence, but also the full reconstruction mapping from the sequence space. OMISSION: The sequence space should be assumed to be *solid*, so that unconditional convergence of sums of the form $\sum_{i \in I} c_i g_i$ can be guaranteed.

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OVERVIEW over Second Part 20 MINUTES

- We talk about the ubiquity of Banach Gelfand Triples ;
- Show that it provides a setting like the finite dimensional setting;
- show how easy they are to use;
- show some applications in Fourier Analysis;
- indicat its relevance for numerical applications;
- and for teaching purposes;
- that it is a good vehicle to transfer algebraic facts (over finite Abelian group to the setting of LCA groups);
- perhaps change your view on Fourier Analysis.



The most beautiful equation

$$e^{2\pi i} = 1.$$

It uses the exponential function, with a (purely) imaginary exponent to get a nice result, more appealing than (the equivalent)

$$cos(2\pi) + i * sin(2\pi) = 0$$
 in \mathbb{C} .

But actual computation are done for rational numbers only!! Recall



The Number System that we are using on a daily basis

 $\mathbb{O}\subset\mathbb{R}\subset\mathbb{C}$

TEST FUNCTIONS - Hilbert space L2 - generalized functions = DISTRIBUTIONS





Since the Fourier transform is one of the central transforms, both for abstract harmonic analysis, engineering applications and pseudo-differential operators let us take a look at it first. People (and books) approach it in different ways and flavours:

- It is defined as integral transform (Lebesgue!?);
- It is computed using the FFT (what is the connection);
- Should engineers learn about tempered distributions?
- How can we reconcile mathematical rigor and still stay in touch with applied people (physics, engineering).



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For practical applications the discrete (finite) Fourier transform is of upmost importance, because of its algebraic properties [joint diagonalization of circulant matrices, hence fast multiplication of polynomials, etc.] and its computational efficiency (FFT algorithms of signals of length N run in Nlog(N) time, for $N = 2^k$, due to recursive arguments).

It maps a vector of length *n* onto the values of the polynomial generated by this set of coefficients, over the unit roots of order *n* on the unit circle (hence it is a Vandermonde matrix). It is a unitary matrix (up to the factor $1/\sqrt{n}$) and maps pure frequencies onto unit vectors (engineers talk of *energy preservation*).



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If we define the Fourier transform for functions on \mathbb{R}^d using an integral transform, then it is useful to assume that $f \in L^1(\mathbb{R}^d)$, i.e. that f belongs to the space of Lebesgues integrable functions.

$$\hat{f}(\omega) = \int_{\mathbb{R}^d} f(t) \cdot e^{-2\pi i \omega \cdot t} dt$$
 (1)

The inverse Fourier transform then has the form

$$f(t) = \int_{\mathbb{R}^d} \hat{f}(\omega) \cdot e^{2\pi i t \cdot \omega} \, d\omega, \qquad (2)$$

Strictly speaking this inversion formula only makes sense under the additional hypothesis that $\hat{f} \in L^1(\mathbb{R}^d)$. One often speaks of Fourier analysis being the first step, and the Fourier inversion as a method to build f from the pure frequencies (we talk of Fourier synthesis analysis)

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Unfortunately the Fourier transform does not behave well with respect to L^1 , and a lot of functional analysis went into fighting the problems (or should we say symptoms?)

- For $f \in L^1(\mathbb{R}^d)$ we have $\hat{f} \in C_0(\mathbb{R}^d)$ (but not conversely, nor can we guarantee $\hat{f} \in L^1(\mathbb{R}^d)$);
- ② The Fourier transform f on L¹(ℝ^d) ∩ L²(ℝ^d) is isometric in the L²-sense, but the Fourier integral cannot be written anymore;
- Convolution and pointwise multiplication correspond to each other, but sometimes the convolution may have to be taken as improper integral, or using summability methods;
- L^{p} -spaces have traditionally a high reputation among function spaces, but tell us little about \hat{f} .



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Effects of Sampling and Periodization: Poisson's formula



A schematic description of the situation



the classical Fourier situation

The usual way out of this problem zone is to introduce generalized functions. In order to do so one has to introduce test functions, and give them a reasonable topology (family of seminorms), so that it makes sense to separate the *continuous* linear functionals from the pathological ones. The "good ones" are admitted and called generalized functions, since most reasonable ordinary functions can be identified (uniquely) with a generalized function (much as 5/7 is a complex number!). If one wants to have Fourier invariance of the space of distributions, one must Fourier invariance of the space of test functions (such as $\mathcal{S}(\mathbb{R}^d)$). If one wants to have - in addition also closedness with respect to differentiation one has to take more or less $\mathcal{S}(\mathbb{R}^d)$. BUT THERE IS MORE!

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A schematic description of the situation



Without differentiability there is a minimal, Fourier and isometrically translation invariant Banach space (called $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$ or $(\mathbf{M}^1(\mathbb{R}^d), \|\cdot\|_{\mathbf{M}^1})$), which will serve our purpose. Its dual space $(\mathbf{S}_0'(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0'})$ is correspondingly the largest among all Fourier invariant and isometrically translation invariant "objects" (in fact so-called local pseudo-measures or quasimeasures, orginally introduced in order to describe translation invariant systems as convolution operators).

Although there is a rich zoo of Banach spaces around (one can choose such a family, the so-called Shubin classes - to intersect in the Schwartz class and their union is corresondingly $\mathcal{S}'(\mathbb{R}^d)$), we will restrict ourselves to the situation of Banach Gelfand Triples, mostly related to $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^d)$.

repeated: SOGELFTR



The key-players for time-frequency analysis

Time-shifts and Frequency shifts

$$T_x f(t) = f(t-x)$$

and $x, \omega, t \in \mathbb{R}^d$

$$M_{\omega}f(t)=e^{2\pi i\omega\cdot t}f(t)$$
.

Behavior under Fourier transform

$$(T_x f)^{\hat{}} = M_{-x} \hat{f} \qquad (M_\omega f)^{\hat{}} = T_\omega \hat{f}$$

The Short-Time Fourier Transform

$$V_{g}f(\lambda) = \langle f, M_{\omega}T_{t}g \rangle = \langle f, \pi(\lambda)g \rangle = \langle f, g_{\lambda} \rangle, \ \lambda = (t, u)$$



A function in $f \in L^2(\mathbb{R}^d)$ is in the subspace $S_0(\mathbb{R}^d)$ if for some non-zero g (called the "window") in the Schwartz space $S(\mathbb{R}^d)$

$$\|f\|_{\mathcal{S}_0} := \|V_g f\|_{\mathbf{L}^1} = \iint_{\mathbb{R}^d imes \widehat{\mathbb{R}}^d} |V_g f(x, \omega)| dx d\omega < \infty.$$

The space $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$ is a Banach space, for any fixed, non-zero $g \in \mathbf{S}_0(\mathbb{R}^d)$), and different windows g define the same space and equivalent norms. Since $\mathbf{S}_0(\mathbb{R}^d)$ contains the Schwartz space $\mathcal{S}(\mathbb{R}^d)$, any Schwartz function is suitable, but also compactly supported functions having an integrable Fourier transform (such as a trapezoidal or triangular function) are suitable. It is convenient to use the Gaussian as a window.

Lemma

Let
$$f \in \mathbf{S}_0(\mathbb{R}^d)$$
, then the following holds:
(1) $\pi(u,\eta)f \in \mathbf{S}_0(\mathbb{R}^d)$ for $(u,\eta) \in \mathbb{R}^d \times \widehat{\mathbb{R}}^d$, and
 $\|\pi(u,\eta)f\|_{\mathbf{S}_0} = \|f\|_{\mathbf{S}_0}$.
(2) $\hat{f} \in \mathbf{S}_0(\mathbb{R}^d)$, and $\|\hat{f}\|_{\mathbf{S}_0} = \|f\|_{\mathbf{S}_0}$.

In fact, $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$ is the smallest non-trivial Banach space with this property, and therefore contained in any of the \mathbf{L}^p -spaces (and their Fourier images).



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Definition

A triple, consisting of a Banach space **B**, which is dense in some Hilbert space \mathcal{H} , which in turn is contained in **B**' is called a Banach Gelfand triple.

Definition

If $(B_1, \mathcal{H}_1, B'_1)$ and $(B_2, \mathcal{H}_2, B'_2)$ are Gelfand triples then a linear operator \mathcal{T} is called a [unitary] Gelfand triple isomorphism if

- **1** A is an isomorphism between \mathbf{B}_1 and \mathbf{B}_2 .
- A is [a unitary operator resp.] an isomorphism between H₁ and H₂.
- A extends to a weak* isomorphism as well as a norm-to-norm continuous isomorphism between B'₁ and B'₂.

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In principle every CONB (= complete orthonormal basis) $\Psi = (\psi_i)_{i \in I}$ for a given Hilbert space \mathcal{H} can be used to establish such a unitary isomorphism, by choosing as \mathbf{B} the space of elements within \mathcal{H} which have an absolutely convergent expansion, i.e. satisfy $\sum_{i \in I} |\langle x, \psi_i \rangle| < \infty$. For the case of the Fourier system as CONB for $\mathcal{H} = \mathbf{L}^2([0, 1])$, i.e. the corresponding definition is already around since the times of N. Wiener: $\mathbf{A}(\mathbb{T})$, the space of absolutely continuous Fourier series. It is also not surprising in retrospect to see that the dual space $\mathsf{PM}(\mathbb{T}) = \mathsf{A}(\mathbb{T})'$ is space of *pseudo-measures*. One can extend the classical Fourier transform to this space, and in fact interpret this extended mapping, in conjunction with the classical

Plancherel theorem as the first unitary Banach Gelfand triple isomorphism, between $(\mathbf{A}, \mathbf{L}^2, \mathbf{PM})(\mathbb{T})$ and $(\ell^1, \ell^2, \ell^{\infty})(\mathbb{Z})$.

The Fourier transform \mathcal{F} on \mathbb{R}^d has the following properties:

- \mathcal{F} is an isomorphism from $S_0(\mathbb{R}^d)$ to $S_0(\widehat{\mathbb{R}}^d)$,
- **2** \mathcal{F} is a unitary map between $L^2(\mathbb{R}^d)$ and $L^2(\widehat{\mathbb{R}}^d)$,
- \mathcal{F} is a weak* (and norm-to-norm) continuous bijection from $\mathbf{S}'_0(\mathbb{R}^d)$ onto $\mathbf{S}'_0(\widehat{\mathbb{R}}^d)$.

Furthermore, we have that Parseval's formula

$$\langle f,g \rangle = \langle \widehat{f},\widehat{g} \rangle$$
 (3)

is valid for $(f,g) \in S_0(\mathbb{R}^d) \times S_0'(\mathbb{R}^d)$, and therefore on each level of the Gelfand triple $(S_0, L^2, S_0')(\mathbb{R}^d)$.

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Gröchenig and Leinert have shown (J. Amer. Math. Soc., 2004):

Theorem

Assume that for $g \in \textbf{S}_0(\mathbb{R}^d)$ the Gabor frame operator

$$S:f\mapsto \sum_{\lambda\in ig \Lambda} \langle f,\pi(\lambda)g
angle\,\pi(\lambda)g$$

is invertible as an operator on $L^2(\mathbb{R}^d)$, then it is also invertible on $S_0(\mathbb{R}^d)$ and in fact on $S_0'(\mathbb{R}^d)$. In other words: Invertibility at the level of the Hilbert space automatically !! implies that S is (resp. extends to) an isomorphism of the Gelfand triple automorphism for $(S_0, L^2, S_0')(\mathbb{R}^d)$.

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It is not difficult to show, that the norms of $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^d)$ correspond to norm convergence in $(\mathbf{L}^1, \mathbf{L}^2, \mathbf{L}^\infty)(\mathbb{R}^{2d})$. The FOURIER transform, viewed as a BGT-automorphism is uniquely determined by the fact that it maps pure frequencies onto the corresponding point measures δ_ω .



 $\mathbf{P}=\mathcal{C}\circ\mathcal{R}\text{ is a projection in }\mathbf{Y}\text{ onto the range }\mathbf{Y}_0\text{ of }\mathcal{C}\text{, thus we}$ have the following commutative diagram.





The frame diagram for Hilbert spaces:





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The frame diagram for Hilbert spaces (S_0, L^2, S_0') :





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Assume that $g \in \mathbf{S}_0(\mathbb{R}^d)$ is given and some lattice Λ . Then (g, Λ) generates a Gabor frame for $\mathcal{H} = \mathbf{L}^2(\mathbb{R}^d)$ if and only if the coefficient mapping \mathcal{C} from $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}'_0)(\mathbb{R}^d)$ into $(\ell^1, \ell^2, \ell^\infty)(\Lambda)$ as a left inverse \mathcal{R} (i.e. $\mathcal{R} \circ \mathcal{C} = Id_{\mathcal{H}}$), which is also a GTR-homomorphism back from $(\ell^1, \ell^2, \ell^\infty)$ to $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}'_0)$. In practice it means, that the dual Gabor atom \tilde{g} is also in $\mathbf{S}_0(\mathbb{R}^d)$, and also the canonical tight atom $S^{-1/2}$, and therefore the whole procedure of taking coefficients, perhaps multiplying them with some sequence (to obtain a Gabor multiplier) and resynthesis is well defined and a BGT-morphism for any such pair.



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One can however also fix the Gabor system, with both analysis and synthesis window in $\mathbf{S}_0(\mathbb{R}^d)$ (typically one will take g and \tilde{g} respectively, or even more symmetrically a tight Gabor window). Then one can take the multiplier sequence in different sequence spaces, e.g. in $(\ell^1, \ell^2, \ell^\infty)(\Lambda)$.

Lemma

Then the mapping from multiplier sequences to Gabor multipliers is a Banach Gelfand triple homomorphism into Banach Gelfand triple of operator ideals, consisting of the Schatten classe $S_1 =$ trace class operators, $\mathcal{H} = \mathcal{HS}$, the Hilbert Schmidt operators, and the class of all bounded operators (with the norm and strong operator topology).

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In contrast to the pure Hilbert space case (the box-function is an ideal orthonormal system on the real line, but does *NOT allow* for any deformation, without loosing the property of being even a Riesz basis):

Theorem (Fei/Kaiblinger, TAMS)

Assume that a pair (g, Λ) , with $g \in \mathbf{S}_0(\mathbb{R}^d)$ defines a Gabor frame or a Gabor Riesz basis respectively [note that by Wexler/Raz and Ron/Shen these to situations are equivalent modulo taking adjoint subgroups!], then the same is true for slightly perturbed atoms or lattices, and the corresponding dual atoms (biorthogonal generators) depend continuously in the $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$ -sense on both parameters.

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In another, very recent paper, Charly Groechenig has discovered that there is another analogy to the finite dimensional case: There one has: A square matrix is invertible if and only if it is surjective or injective (the other property then follows automatically). We have a similar situation here (systematically describe in Charly's paper):

K.Groechenig: Gabor frames without inequalities, Int. Math. Res. Not. IMRN, No.23, (2007).



We know also from linear algebra, that any linear mapping can be expressed by a matrix (once two bases are fixed). We have a similar situation through the so-called kernel theorem. It uses $\mathbf{B} = \mathcal{L}(\mathbf{S}_0', \mathbf{S}_0)$.

Theorem

There is a natural BGT-isomorphism between $(\mathbf{B}, \mathcal{H}, \mathbf{B}')$ and $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^{2d})$. This in turn is isomorphic via the spreading and the Kohn-Nirenberg symbol to $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^d \times \widehat{\mathbb{R}}^d)$. Moreover, the spreading mapping is uniquely determined as the BGT-isomorphism, which established a correspondence between TF-shift operators $\pi(\lambda)$ and the corresponding point masses δ_{λ} .

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It is not difficult to show, that the norms of $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}'_0)(\mathbb{R}^d)$ correspond to norm convergence in $(\mathbf{L}^1, \mathbf{L}^2, \mathbf{L}^\infty)(\mathbb{R}^{2d})$. Therefore it is interesting to check what the *w**-convergence looks like:

Lemma

For any $g \in \mathbf{S}_0(\mathbb{R}^d)$ a sequence σ_n is w^* -convergent to σ_0 if and only the spectrograms $V_g(\sigma_n)$ converge uniformly over compact sets to the spectrogram $V_g(\sigma_0)$.

The FOURIER transform, viewed as a BGT-automorphism is uniquely determined by the fact that it maps pure frequencies onto the corresponding point measures δ_{ω} .



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A Typical Musical STFT



From the practical point of view this means, that one has to look at the spectrograms of the sequence σ_n and verify whether they look closer and closer the spectrogram of the limit distribution $V_g(\sigma_0)$ over compact sets.

The approximation of elements from $S_0(\mathbb{R}^d)$ takes place by a bounded sequence.

Since any Banach-Gelfand triple homomorphism preserves this property (by definition) one can reduce many problems to w^* -dense subsets of $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$. Let us look at some concrete examples: Test-functions, finite discrete measures $\mu = \sum c \delta_{i}$, trigonometric polynomials

discrete measures $\mu = \sum_{i} c_i \delta_{t_i}$, trigonometric polynomials $q(t) = \sum_{i} a_i e^{2\pi i \omega_i t}$, or discrete AND periodic measures (this class is invariant under the generalized Fourier transform and can be realized computationally using the FFT).



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The w^* - topology: approximation strategies

- How to approximate general distributions by test functions: Regularization procedures via product convolution operators, $h_{\alpha}(g_{\beta} * \sigma) \rightarrow \sigma$ or TF-localization operators: multiply the STFT with a 2D-summability kernel before resynthesis (e.g. partial sums for Hermite expansion);
- how to approximate an L¹-Fourier transform by test functions: and classical summability
- how to approximate a test function by a finite disrete sequence using quasi-interpolation (N. Kaiblinger):
 Q_Ψf(x) = ∑_i f(x_i)ψ_i(x).



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