# What are FUNCTION SPACES good for? [for pseudo-differential operators and otherwise]

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Hans G. Feichtinger hans.feichtinger@univie.ac.at www.m What are FUNCTION SPACES good for? [for pseudo-differen

# ABSTRACT

Aside from the natural simplicity of the idea of scale space ("constant shape") one of the reasons why *wavelet theory* had an immediate impact was the fact that already in the very first papers the ability of wavelets to characterize the elements of many function spaces considered important at that time (namely  $L^{p}$ -spaces, Besov and Triebel-Lizorkin spaces) has been established by Y. Meyer. One can use the continuous wavelet transform or alternatively the wavelet coefficients with respect to a "good" orthogonal wavelet basis. Also the real Hardy space and its dual, the BMO-space can be characterized via wavelet theory, thus establishing the connection to Calderon-Zygmund operators. These are exactly the operators which have a "diagonally **concentrated**" matrix representation with respect to such wavelet bases. Various boundedness results for such operators appear as quite natural under this perspective.

With *modulation spaces*, introduced by the author already in the early 80's the story went the other way around. First their characterization via Gabor expansions was established, resp. via the short-time Fourier transform, typically using weighted mixed norm conditions. Only long after the basic properties of those spaces had been established it became clear that they are well suited for a description of questions arising in time-frequency analysis, in the theory of slowly time-variant channels (relevant for mobile communication) or for the description of pseudo-differential operators using the Kohn-Nirenberg or Weyl calculus or Sjöstrand's class. Compared to wavelet analysis the time-frequency point-of-view allows to tackle similar problems over general LCA (locally compact Abelian) groups, which in turn provides a good setting for the discretization of pseudo-differential operators, and opens the possibility of using finite-dimensional computational methods approximating problems arising in a continuous setting

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In the most simple setting one can use the Banach Gelfand triple  $(\textbf{S}_0, \textbf{L}^2, \textbf{S}_0')(\mathbb{R}^d)$ , consisting of the Segal algebra  $(\textbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\textbf{S}_0}) = (\textbf{M}^1(\mathbb{R}^d), \|\cdot\|_{\textbf{M}^1})$  (the functions with ambiguity function in  $L^1(\mathbb{R}^{2d})$ ), the Hilbert space  $L^2(\mathbb{R}^d)$  and the dual space  $\textbf{S}_0'(\mathbb{R}^d)$  of all tempered distributions with bounded short-time Fourier transform.

*Coorbit theory* provides a variety of other groups where integrable representations provide a corresponding family of Banach spaces and the appropriate atomic decompositions. Shearlets and shearlet spaces are a recent member of this family of coorbit spaces. It is the author's belief that many more so-called *flexible atomic decompositions* (typically Banach frames for families of Banach spaces) will play an important role for the treatment of possible new classes of pseudo-differential operators and that a better understanding of their properties and of the corresponding atomic (or molecular) decompositions will contribute to progress in this field. 4 3 b

The theory of coorbit spaces has not only allowed to establish connections between time-frequency analysis, with the Schrödinger representation of the Heisenberggroup and the STFT in the background and modulation spaces the appropriate setting, and wavelet theory, with the classical Besov-Triebel-Lizorkin function spaces and the "ax+b" group in the background, but in recent year the link for various other groups, notably

- Shearlets and the shearlet group
- Moebius group acting on analytic functions
- Blaschke group (work of Margit Pap),
- etc.

and in particular a link to transfer information (e.g. Toeplitz operators) from one context to another (Daniel Abreu).



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First let us recall that the **theory of function spaces** is meanwhile well established (among others thanks to the pioneering work of J. Peetre and H. Triebel, the founders of modern interpolation theory), but also the work of E. Stein and G. Weiss (Fourier Analysis and Differentiability) with the clear focus of measuring smoothness, and finally the characterizations of all those spaces by means of wavelet theory (Y. Meyer, with a clear link to the theory of Calderon-Zygmund operators). Their goals have been to establish *fine concepts of smoothness or* fractional differentiability of functions, connected with approximation theory, strongly based on Fourier analytic tools (like Paley-Littlewood decompositions). Triebel and Peetre clearly went for families of Banach spaces closed under duality (almost) and interpolation.

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According to Yves Meyer (personal comment in 1987) function spaces have their right only from their ability to describe operators. Considering the importance of BMO and real Hardy spaces and the relevance of their atomic characterizations for the boundedness proofs concerning Calderon-Zygmund operators this claim is well understandable, although meanwhile it is clear that the reverse order (studying systematically appropriate families of function spaces) may give good results as well.

But aside from the nice mathematical results obtained, various characterizations of function spaces, atomic decompositions etc., may we go back and ask: what are they good for? In addition, are the important spaces really important? Such as for example the  $L^{p}$ -spaces!???



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There are a couple of natural question to be asked by a sceptic person, seeing things from an outside, which we should be able to answer, or at least reflect on, such as:

- What function spaces are good for?
- What can one say/require from "interesting families of function spaces" ?
- What kind of function spaces appear to be useful for which purposes?
- What have we learned about function spaces in the last decades?
- Is there a general pattern for such families (e.g. more recently shearlets)?



#### A couple of more concrete questions (II):

- Assume we want to compute the Fourier transform of a function numerically. Does it help to know that f, f ∈ L<sup>1</sup>(ℝ)?
- Assume we study regular (= Shannon) sampling or irregular sampling for (approximately) band-limited functions or in spline-type spaces;
- Assume we are doing Gabor analysis, and we want to compute the dual Gabor atom for some irrational lattice of the form aZ × bZ, how can we approach such a problem computationally?
- Given the short-time Fourier transform  $V_g(f)$  for  $f, g \in L^2(\mathbb{R})$ we know that  $V_g f \in C_b(\mathbb{R}^{2d}) \cap L^2(\mathbb{R}^d \times \widehat{\mathbb{R}}^d)$ , but do we know that the samples over any given grid/lattice  $\Lambda$  are in  $\ell^2(\Lambda)$ ?

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#### Further relatively concrete questions (III):

- The numerical treatment of various questions related to pseudo- differential operators or Gabor multipliers lead to similar questions, such as: provide a constructively realizable method to compute the inverse of some Gabor multiplier, ...
- Assume we have a "computationally realizable" algorithms which allows us to compute the dual family for a multi-window Gabor family, or the generators of the canonical tight Gabor frame, in order to build (approximate). Which norms do we have to use in order to be sure that the corresponding operator, applied to nice functions, is really close (in the operator norm sense for a family of function spaces) to the *true* operator, e.g. Gabor multiplier?



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# Questions in the context of classical Fourier Analysis

- In order to invert the classical Fourier transform on L1, i.e. to apply the *inverse Fourier transform* to f *inFL*<sup>1</sup>, which type of summability kernels and methods should/could one use?
- What is the most general and convenient sufficient condition for the validity of Poisson's formula (weaker than L<sup>1</sup>−Sobolev or order two for ℝ)?
- What is a convenient (distributional) way to define the spectrum of bounded and continuous functions on R<sup>d</sup>?
- How to describe spectral synthesis, i.e. the approximation or resynthesis of h ∈ C<sub>b</sub>(ℝ<sup>d</sup>) from trigonometric polynomials which have been "filtered out of h" ?
- Questions in classical Harmonic Analysis are typically/correctly posed in the context of LCA groups (A.Weil!) where one may only have the (complicated) Schwartz-Bruhat space otherwise

# Questions in the context of engineering

 Engineers look out for the description of translation invariant linear systems as convolution operators (by σ) resp. via transfer functions ô.

For mathematicians similar questions arise in the context of multipliers, e.g. the description of multipliers from  $L^p$  to  $L^q$ , and have used *quasi-measures* for this purpose.

 For mobile communication one has to describe slowly varying channels' by providing information about their spreading support



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# IN SHORT:

WE SHOULD NOT BE CONTENT WITH THE FACT THAT WE CAN GENERALIZE PROOFS BY INTRODUCING MORE PARAMETERS, OR THAT WE CAN DEFINE NEW SPACES AND THEN DEFINE SIMILAR PROPERTIES TO THE EXISTING ONES, OR ANSWER THE QUESTIONS THAT WE POSE OURSELVES, BUT RATHER TRY DEVELOP SHOWCASES WHERE THE GOOD PROPERTIES OF SUCH [FAMILIES OF] FUNCTION SPACES ARE REALLY NEEDED IN ORDER TO PROPERLY ANSWER QUESTIONS THAT OCCUR NATURALLY OR ARE ASKED BY OTHERS (MAYBE SOME APPLIED SCIENTISTS, ENGINEERS, ETC.).

And in fact there are many examples where one can justify the study of families by such applications, and often enough what one learns from systematic studies is equally important as the immediate relevance (for applications)<sup>1</sup>



<sup>1</sup>ABTRACT should not mean USELESS at the endlass  $\bullet$ 

## So what have we learned from coorbit theory?

I think the development of the last 20 years already shows a couple of new aspects that will have lasting impact:

- Instead of looking at function spaces individually we are now typically treating *families of alike function spaces*;
- When we deal with operators they are considered on all of the corresponding spaces (e.g. modulation spaces) simultaneously!
- Even if we cannot find orthonormal bases it may be quite OK to work with good frames and define function spaces by the properties of their (canonical) coefficients.
- When defining operators (e.g. projections) it is good to define them in a way which is natural (!orthogonal projections) for the Hilbert space context, but then extend them to the whole family (e.g. projection onto cubic splines).

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There are rather important concepts in linear algebra:

- Linear independence;
- Spanning property (generating system);
- Basis (both properties together);
- Matrix representation of linear mappings via basis
- Joint eigen-vectors for commuting families of operators

which have their analogues in the context of Hilbert spaces (first), namely Riesz basic sequences, frames, Riesz bases, frame matrices etc.. UNFORTUNATELY one thinks too often that the relevant facts about such families (aside from dual objects) are double inequalities! But for (families of) Banach spaces this is NOT enough, one needs commutative diagrams (retracts!)!.

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#### In the functional analytic setting this becomes....

- the usual generalizations of linear algebra concepts to the Hilbert space case (namely linear independence and totality) are **inappropriate** in many cases;
- that frames and Riesz bases (for subspaces) are the right generalization to Hilbert spaces;
- that Hilbert spaces are themselves a too narrow concept and should be replaced Banach Gelfand Triples, ideally isomorphic to the canonical ones (ℓ<sup>1</sup>, ℓ<sup>2</sup>, ℓ<sup>∞</sup>);
- Describing the situation of frames or Riesz bases via commutative diagrams allows to extend this notation to Banach Gelfand Triples (:BGTs);
- Demonstrate by examples (Fourier transform, kernel theorem) that this viewpoint brings us very close to the finite-dimensional setting!



# Topics of possible interest (basis for oral improvisation):

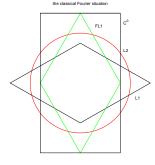
- About the ubiquity of Banach Gelfand Triples ;
- provides a setting very similar to the finite dimensional setting;
- showing how easy they are to use;
- showing some applications in Fourier Analysis;
- indicating its relevance for numerical applications;
- and for teaching purposes;
- that it is a good vehicle to transfer algebraic facts (over finite Abelian group to the setting of LCA groups);
- perhaps change your view on Fourier Analysis.



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#### The classical Lebesgue spaces

The classical trio:  $(\mathsf{L}^1(\mathbb{R}^d), \|\cdot\|_1), (\mathsf{L}^2(\mathbb{R}^d), \|\cdot\|_2), (\mathsf{L}^\infty(\mathbb{R}^d), \|\cdot\|_\infty)$ :





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The classical *justification* for the use of Lebesgue spaces  $(\mathsf{L}^p(\mathbb{R}^d), \|\cdot\|_p)$  (the corresponding key results date back by approximately 100 years!) is of course based on their completeness, and because the appear to be the natural domain for the Fourier transform (naturally defined as *integral transform* on  $(L^{1}(G), \|\cdot\|_{1})$ , and also Fubini's theorem appears to be the natural framework to establish the fact that  $(\mathbf{L}^{1}(G), \|\cdot\|_{1})$  is a Banach algebra with respect to (commutative) convolution, and to verify the convolution theorem (the Fourier transform turns convolution into pointwise multiplication). BUT: for p = 2 the Plancherel-FT is not anymore based on the

Lebesgue integral, and for p > 2 the FT is not mapping to functions anymore!



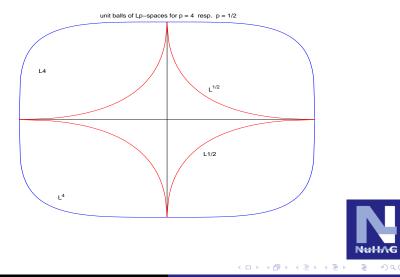
 $L^{p}\mbox{-}{\rm spaces}$  are traditionally of Big Relevance, but what are they good for?  $^{2}$ 

- The scale of L<sup>p</sup>-spaces, 1 p</sup>- sense is practically never used;
- The scale of L<sup>p</sup>-spaces over R<sup>d</sup> is not ordered by inclusions. The obstacles for this are of *local* (function with singularities, like truncated versions of x<sup>-α</sup>), or of global nature (step function decaying like n<sup>-β</sup>);
- The Fourier transform is not well compatible with  $L^{p}$ -norms (except for p = 2).

<sup>2</sup>Of course this is a provocative statement, meant to stimulate reflections of the reader!

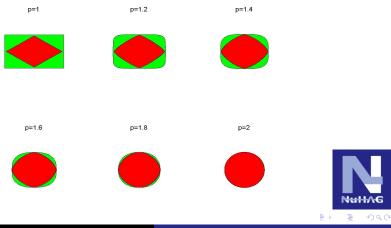
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# Unit Balls of L<sup>p</sup>-spaces

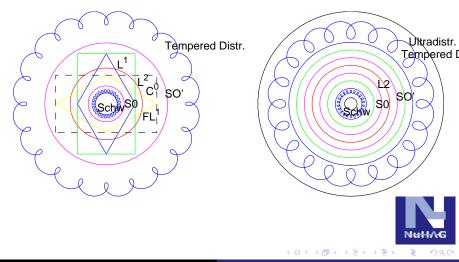


# Hausdorff Young Theorem for the Fourier Transform

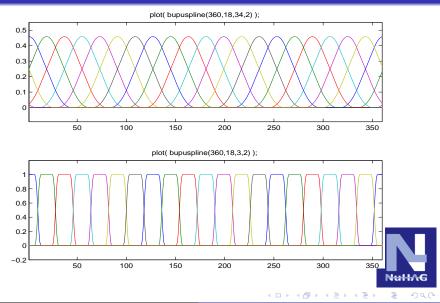
$$\mathcal{F}\mathsf{L}^p(\mathbb{R}^d) \subseteq \mathsf{L}^q(\mathbb{R}^d), \quad 1 \leq p \leq 2, \ \frac{1}{q} + \frac{1}{p} = 1.$$



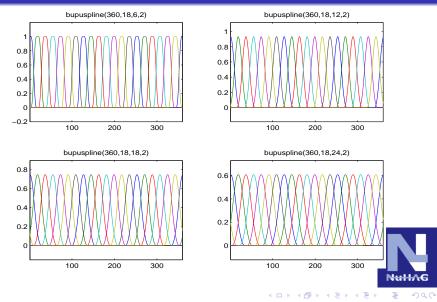
#### The universe of spaces describing the Fourier Transform



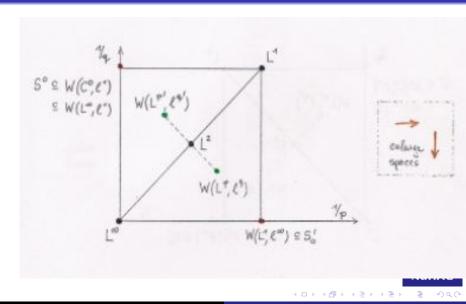
#### **BUPUs and Wiener Amalgams**



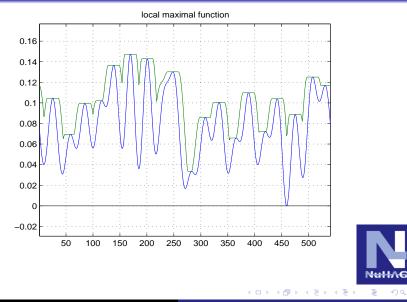
# **BUPUs and Wiener Amalgams**



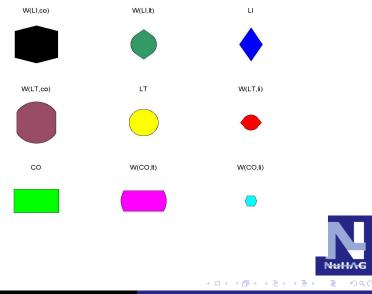
#### Wiener Amalgams: The magic square



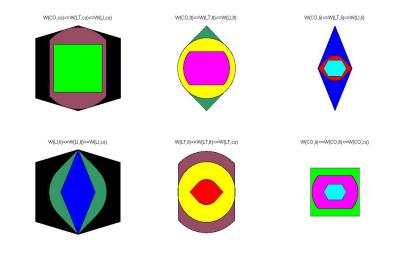
# The Wiener Algebra $\mathbf{W}(\mathbf{C}_0, \ell^1)(\mathbb{R}^d)$



### Wiener Amalgams: Symbolic representations



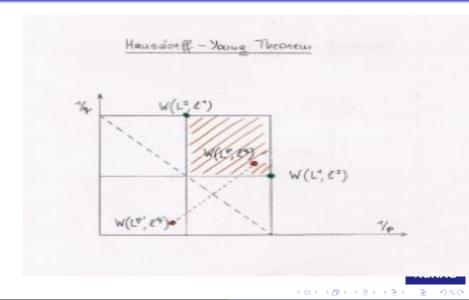
#### Inclusions among Wiener Amalgams



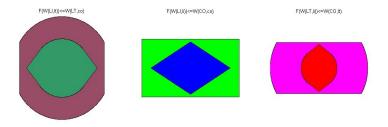
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### Hausdorff Young for Wiener Amalgams: Magic square



# Hausdorff Young for Wiener Amalgams, symbolically

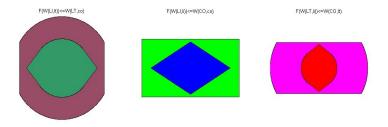


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# Hausdorff Young for Wiener Amalgams: Magic square

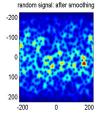


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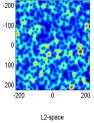
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# Sobolev spaces punish high frequencies



random signal: spectrogram





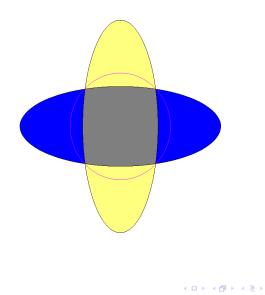
Sobolev space inside



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# Sobolev intersect $L^2_w(\mathbb{R}^d)$ in $S_0(\mathbb{R}^d)$



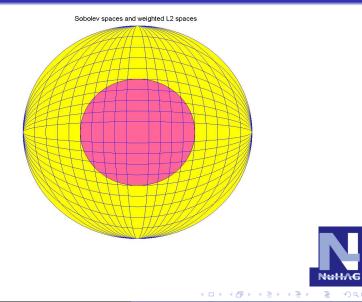
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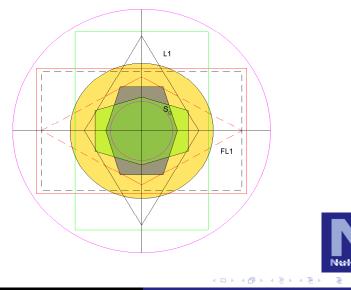
# Weighted $L^2$ -spaces and Sobolev



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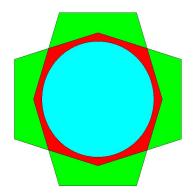
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#### Wiener Amalgam spaces and Fourier Transform



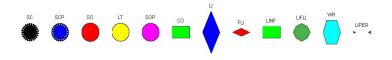
# Wiener Amalgam spaces and Fourier Transform

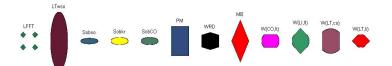
SO being part of W(Co,I1) [upright] and its FT





#### The collection of all spaces in use





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# The collection of all spaces: CORRECT actual SIZE





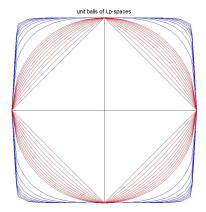
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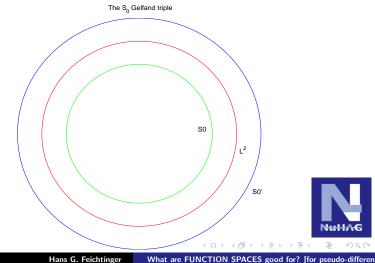
# Unit balls of Lp-spaces





## The visualization of a Banach Gelfand Triple

Above all the Banach Gelfand triple  $(\bm{S}_0,\bm{L}^2,\bm{S}_0'),$  consisting of the modulation spaces  $\bm{M}^1,\bm{L}^2,\bm{M}^\infty.$ 



We teach in our courses that there is a huge variety of *NUMBERS*, but for our daily life rationals, reals and complex numbers suffice. The most beautiful equation

$$e^{2\pi i} = 1.$$

It uses the exponential function, with a (purely) imaginary exponent to get a nice result, more appealing than (the equivalent)

$$cos(2\pi) + i * sin(2\pi) = 1$$
 in  $\mathbb{C}$ .

But actual computation are done for rational numbers only!! Recall

$$\mathbb{Q}\subset\mathbb{R}\subset\mathbb{C}$$

# Frames in Hilbert Spaces: Classical Approach

#### Definition

A family  $(f_i)_{i \in I}$  in a Hilbert space  $\mathcal{H}$  is called a *frame* if there exist constants A, B > 0 such that for all  $f \in \mathcal{H}$ 

$$A\|f\|^2 \le \sum_{i \in I} |\langle f, f_i \rangle|^2 \le B\|f\|^2$$
(1)

It is well known that condition (1) is satisfied if and only if the so-called frame operator is invertible, which is given by

#### Definition

$$S(f) := \sum_{i \in I} \langle f, f_i \rangle f_i, \quad \text{for} \quad f \in \mathcal{H},$$

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The obvious fact  $S \circ S^{-1} = Id = S^{-1} \circ S$  implies that the (canonical) *dual frame*  $(\tilde{f}_i)_{i \in I}$ , defined by  $\tilde{f}_i := S^{-1}(f_i)$  has the property that one has for  $f \in \mathcal{H}$ :

Definition

$$f = \sum_{i \in I} \langle f, \tilde{f}_i \rangle f_i = \sum_{i \in I} \langle f, f_i \rangle \tilde{f}_i$$
(2)

Moreover, applying  $S^{-1}$  to this equation one finds that the family  $(\tilde{f}_i)_{i \in I}$  is in fact a frame, whose frame operator is just  $S^{-1}$ . Consequently the "dual dual frame" is just the original one.



Since S is *positive definite* in this case we can also get to a more symmetric expression by defining  $h_i = S^{-1/2} f_i$ . In this case one has

$$f = \sum_{i \in I} \langle f, h_i \rangle h_i \quad \text{for all } f \in \mathcal{H}.$$
(3)

The family  $(h_i)_{i \in I}$  defined in this way is called the *canonical tight* frame associated to the given family  $(g_i)_{i \in I}$ . It is in some sense the closest tight frame to the given family  $(f_i)_{i \in I}$ .

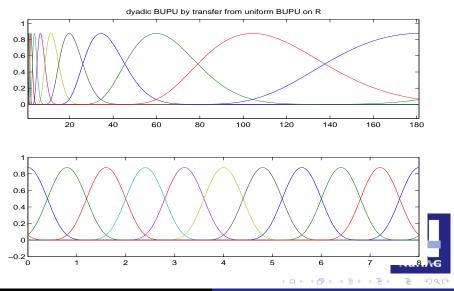


I think there is a historical reason for frames to pop up in the setting of separable Hilbert spaces  $\mathcal{H}$ . The first and fundamental paper was by Duffin and Schaeffer ([4]) which gained popularity in the "painless" paper by Daubechies, Grossmann and Y. Meyer ([3]). It gives explicit constructions of tight Wavelet as well as Gabor frames. For the wavelet case such dual pairs are are also known due to the work of Frazier-Jawerth, see [6, 7]. Such characterizations (e.g. via atomic decompositions, with control of the coefficients) can in fact seen as prerunners of the concept of Banach frames to be discussed below.

These methods are closely related to the Fourier description of function spaces (going back to H. Triebel and J. Peetre) via *dyadic partitions of unity* on the Fourier transform side.

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# Dyadic Partitions of Unity and Besov spaces



The construction of orthonormal wavelets (in particular the first constructions by Y. Meyer and Lemarie, and subsequently the famous papers by Ingrid Daubechies), with prescribed degree of smoothness and even compact support makes a big difference to the Gabor case.

In fact, the Balian-Low theorem prohibits the existence of (Rieszor) orthogonal <u>Gabor bases</u> with well TF-localized atoms, hence one has to be content with Gabor frames (for signal expansions) or Gabor Riesz basic sequences (OFDM for mobile communication: Orthogonal Frequency Division Multiplexing).

This also brings up a connection to filter banks, which in the case of Gabor frames has been studied extensively by H. Bölcskei and coauthors (see [1]).



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Let us now take a LINEAR ALGEBRA POINT OF VIEW! We recall the standard linear algebra situation. We view a given  $m \times n$  matrix **A** either as a collection of column or as a collection of row vectors, generating  $Col(\mathbf{A})$  and  $Row(\mathbf{A})$ . We have: row-rank( $\mathbf{A}$ ) = column-rank( $\mathbf{A}$ )

Each homogeneous linear system of equations can be expressed in the form of scalar products  $^3$  we find that

 $Null(A) = Rowspace(A)^{\perp}$ 

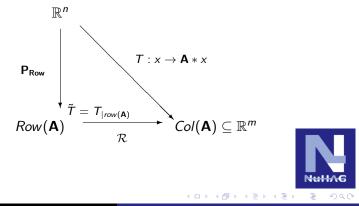
and of course (by reasons of symmetry) for  $\mathbf{A}' := conj(A^t)$ :

$$\mathsf{Null}(\mathsf{A}') = \mathsf{Colspace}(\mathsf{A})^{\perp}$$

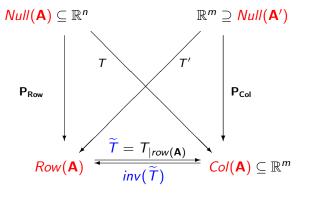
<sup>3</sup>Think of 3x + 4y + 5z = 0 is just another way to say that the vector **NutlAG**   $\mathbf{x} = [x, y, z]$  satisfies  $\langle \mathbf{x}, [3, 4, 5] \rangle = 0$ . Hans G. Feichtinger What are FUNCTION SPACES good for? [for pseudo-different



Since *clearly* the restriction of the linear mapping  $x \mapsto \mathbf{A} * x$  is injective we get an isomorphism  $\tilde{\mathcal{T}}$  between  $Row(\mathbf{A})$  and  $Col(\mathbf{A})$ .



#### Geometric interpretation of matrix multiplication



$$T = \widetilde{T} \circ P_{Row}, \quad pinv(T) = inv(\widetilde{T}) \circ P_{Col}.$$



The **SVD** (the so-called Singular Value Decomposition) of a matrix, described in the MATLAB helpful as a way to write A as

$$A = U * S * V'$$

, where the columns of U form an ON-Basis in  $\mathbb{R}^m$  and the columns of V form an ON-basis for  $\mathbb{R}^n$ , and S is a (rectangular) diagonal matrix containing the non-negative *singular values* ( $\sigma_k$ ) of A. We have  $\sigma_1 \ge \sigma_2 \dots \sigma_r > 0$ , for r = rank(A), while  $\sigma_s = 0$  for s > r. In standard description we have for A and  $pinv(A) = A^+$ :

$$A * x = \sum_{k=1}^{r} \sigma_k \langle x, v_k \rangle u_k, \quad A^+ * y = \sum_{k=1}^{r} \frac{1}{\sigma_k} \langle y, u_k \rangle v_k.$$

The Four Spaces are well known from LINEAR ALGEBRA, e.g. in the dimension formulas:

ROW-Rank of A equals COLUMN-Rank of A.

The defect (i.e. the dimension of the Null-space of **A**) plus the dimension of the range space of **A** (i.e. the column space of **A**) equals the dimension of the domain space  $\mathbb{R}^n$ . Or in terms of linear, homogeneous equations: The dimension of set of all solution to the homogeneous linear equations equals the number of variables minus the dimension of the column space of **A**.

The SVD also shows, that the *isomorphism*  $\tilde{T}$  between the Row-space and the Column-space can be described by a diagonal matrix, if suitable orthonormal bases are used.

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We can describe the quality of the isomorphism  $\overline{T}$  by looking at its condition number, which is  $\sigma_1/\sigma_r$ , the so-called **Kato-condition** number of T.

It is not surprising that for **normal matrices** with A' \* A = A \* A' one can even have diagonalization, i.e. one can choose U = V, using to following simple argument:

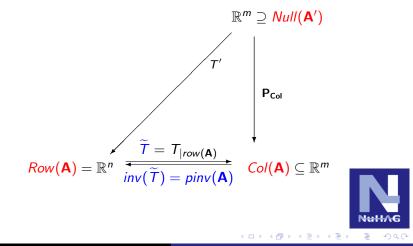
$$Null(A) =_{always} Null(A' * A) = Null(A * A') = Null(A').$$

The most interesting cases appear if a matrix has maximal rank, i.e. if  $rank(\mathbf{A}) = min(m, n)$ , or equivalently if one of the two Null-spaces is trivial. Then we have either linear independent columns of **A** (injectivity of T >> RIESZ BASIS for subspaces) or the columns of **A** span all of  $\mathbb{R}^m$  ( i.e. surjectivity, resp.  $Null(A') = \{0\}$ ): >> FRAME SETTING!

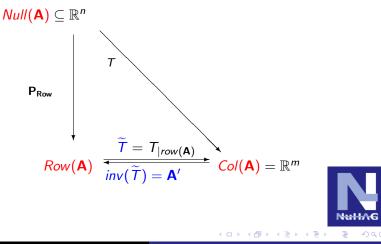


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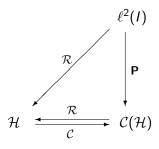
#### Geometric interpretation: linear independent set > R.B.



### Geometric interpretation: generating set > FRAME



If we consider **A** as a collection of column vectors, then the role of **A**' is that of a coefficient mapping:  $f \mapsto (\langle f, f_i \rangle)$ .



This diagram is fully equivalent to the frame inequalities (1)



The diagram for a Riesz basis (for a subspace), nowadays called a Riesz basic sequence (RBS) looks quite the same ([2]). In fact, from an abstract sequence there is no! difference, just like there is no difference (from an abstract viewpoint) between a matrix **A** and the transpose matrix  $\mathbf{A}'$ . In this way in the RBS case one has the synthesis mapping  $\mathbf{c} \mapsto \sum_i c_i g_i$  from  $\ell^2(I)$  into the Hilbert space  $\mathcal{H}$  is *injective*, while in the frame case the analysis mapping  $f \mapsto (\langle f, g_i \rangle)$  from  $\mathcal{H}$  into  $\ell^2(I)$  is injective (with bounded inverse). Of course one can consider a RBS as a Riesz basis for the closed linear span of its elements, establishing an isomorphism betweer  $\ell^2(I)$  and  $\mathcal{H}$ .

Although the *definition of frames in Hilbert spaces* emphasizes the aspect, that the frame elements define (via the Riesz representation theorem) an injective analysis mapping, the usefulness of frame theory rather comes from the fact that frames allow for atomic decompositions of arbitrary elements  $f \in \mathcal{H}$ . One could even replace the lower frame bound inequality in the definition of frames by assuming that one has a Bessel sequence (i.e. that the upper frame bound is valid) with the property that the synthesis mapping from  $\ell^2(I)$  into  $\mathcal{H}$ , given by  $\mathbf{c} \mapsto \sum_i c_i g_i$  is *surjective* onto *all of*  $\mathcal{H}$ .

Analogously one can find Riesz basic sequences interesting (just like linear independent sets) because they allow to uniquely determine the coefficients of f in their closed linear span on that closed subspace of  $\mathcal{H}$ .



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While the following conditions are equivalent in the case of a finite dimensional vector space (we discuss the frame-like situation) one has to put more assumptions in the case of separable Hilbert spaces and even more in the case of Banach spaces. Note that one has in the case of an infinite-dimensional Hilbert space: A set of vectors  $(f_i)_{i \in I}$  is total in  $\mathcal{H}$  if and only if the analysis mapping  $f \mapsto (\langle f, g_i \rangle)$  is injective. In contrast to the frame condition nothing is said about a series expansion, and in fact for better approximation of  $f \in \mathcal{H}$  a completely different finite linear combination of  $g'_i s$  can be used, without any control on the  $\ell^2$ -norm of the corresponding coefficients.

THEREFORE one has to make the assumption that the range the coefficient mapping has to be a *closed subspace* of  $\ell^2(I)$  in the discussion of *frames in Hilbert spaces*.



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In the case of Banach spaces one even has to go one step further. Taking the norm equivalence between some Banach space norm and a corresponding sequence space norm in a suitable Banach space of sequences over the index set I (replacing  $\ell^2(I)$  for the Hilbert space) is not enough!

In fact, making such a definition would come back to the assumption that the coefficient mapping  $C: f \mapsto (\langle f, g_i \rangle)$  allows to identify with some closed subspace of that Banach space of sequences. Although in principle this might be a useful concept it would not cover typical operations, such as taking Gabor coefficients and applying localization or thresholding, as the modified sequence is then typically not in the range of the sampled STFT, but resynthesis should work!



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What one really needs in order to have the diagram is the identification of the Banach space under consideration (modulation space, or Besov-Triebel-Lozirkin space in the case of wavelet frames) with a close and complemented subspace of a larger space of sequences (taking the abstract position of  $\ell^2(I)$ . To assume the existence of a left inverse to the coefficient mapping allows to establish this fact in a natural way. Assume that  $\mathcal{R}$  is the left inverse to C. Then  $C \circ R$  is providing the projection operator (the orthogonal projection in the case of  $\ell^2(I)$ , if the canonical dual frame is used for synthesis) onto the range of C. The converse is an easy exercise: starting from a projection followed by the inverse on the range one easily obtains a right inverse operator  $\mathcal{R}$ 



The above situation (assuming the validity of a diagram and the existence of the reconstruction mapping) is part of the definition of Banach frames as given by K. Gröchenig in [8].

Having the classical situation in mind, and the *spirit of frames in the Hilbert spaces case* one should however add two more conditions:

In order to avoid trivial examples of Banach frames one should assume that the associated Banach space  $(\mathbf{B} \| \cdot \|_{\mathbf{B}})$  of sequences should be assumed to be solid, i.e. satisfy that  $|a_i| \le |b_i|$  for all  $i \in I$  and  $b \in B$  implies  $a \in \mathbf{B}$  and  $||a||_{\mathbf{B}} \le ||b||_{\mathbf{B}}$ . Then one could identify the reconstruction mapping  $\mathcal{R}$  with the collection of images of unit vectors  $h_i := \mathcal{R}(\vec{e}_i)$ , where  $\vec{e}_i$  is the unit vector at  $i \in I$ . Moreover, unconditional convergence of a series of the form  $\sum_i c_i h_i$  would be automatic.

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# A hierarchy of conditions 6

Instead of going into this detail (including potentially the suggestion to talk about unconditional Banach frames) I would like to emphasize another aspect of the theory of Banach frames. According to *my personal opinion* it is not very interesting to discuss individual Banach frames, or the existence of *some Banach frames* with respect to *some abstract Banach space of sequences*, even if the above additional criteria apply.

The *interesting cases* concern situations, where the coefficient and synthesis mapping concern a whole family of related Banach spaces, the setting of Banach Gelfand triples being the minimal (and most natural) instance of such a situation.

A comparison: As the family, consisting of father, mother and the child is the foundation of our social system, Banach Gelfand Triples are the prototype of *families*, sometimes *scales of Banacy spaces*, the "child" being of course our beloved Hilbert space.

The next term to be introduced are Banach Gelfand Triples. There exists already and established terminology concerning triples of spaces, such as the Schwartz triple consisting of the spaces  $(\mathcal{S}, \mathsf{L}^2, \mathcal{S}')(\mathbb{R}^d)$ , or triples of weighted Hilbert spaces, such as  $(\mathsf{L}^2_w, \mathsf{L}^2, \mathsf{L}^2_{1/w})$ , where  $w(t) = (1 + |t|^2)^{s/2}$  for some s > 0, which is - via the Fourier transform isomorphic to another ("Hilbertian") Gelfand Triple of the form  $(\mathcal{H}_s, \mathsf{L}^2, \mathcal{H}_s')$ , with a Sobolev space and its dual space being used e.g. in order to describe the behaviour of elliptic partial differential operators.

The point to be made is that suitable Banach spaces, in fact imitating the prototypical Banach Gelfand triple  $(\ell^1, \ell^2, \ell^\infty)$  allows to obtain a surprisingly large number of results resembling the finite dimensional situation.



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There is a well known and classical example related to the more general setting I want to describe, which - as so many things - go back to N. Wiener. He introduced (within  $L^{2}(\mathbb{U})$ ) the space  $(\mathbf{A}(\mathbb{U}), \|\cdot\|_{\mathbf{A}})$  of absolutely convergent Fourier series. Of course this space sits inside of  $(L^2(\mathbb{U}), \|\cdot\|_2)$  as a dense subspace, with the norm  $||f||_{\mathbf{A}} := \sum_{n \in \mathbb{Z}} |\widehat{f}(n)|$ . Later on the discussion about Fourier series and generalized functions led (as I believe naturally) to the concept of pseudo-measures, which are either the elements of the dual of  $(\mathbf{A}(\mathbb{U}), \|\cdot\|_{\mathbf{A}})$ , or the (generalized) inverse Fourier transforms of bounded sequences, i.e.  $\mathcal{F}^{-1}(\ell^{\infty}(\mathbb{Z}))$ . In other words, this extended view on the Fourier analysis operator  $\mathcal{C}: f \mapsto (\widehat{f}(n)_{n \in \mathbb{Z}})$  on the BGT  $(\mathbf{A}, \mathbf{L}^2, \mathbf{PM})$  into  $(\ell^1, \ell^2, \ell^\infty)$ is the prototype of what we will call a BGT-isomorphism.

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# We suggest to use the Banach Gelfand Triple



Since the Fourier transform is one of the central transforms, both for abstract harmonic analysis, engineering applications and pseudo-differential operators let us take a look at it first. People (and books) approach it in different ways and flavours:

- It is defined as integral transform (Lebesgue!?);
- It is computed using the FFT (what is the connection);
- Should engineers learn about tempered distributions?
- How can we reconcile mathematical rigor and still stay in touch with applied people (physics, engineering).



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For practical applications the discrete (finite) Fourier transform is of upmost importance, because of its algebraic properties [joint diagonalization of circulant matrices, hence fast multiplication of polynomials, etc.] and its computational efficiency (FFT algorithms of signals of length N run in Nlog(N) time, for  $N = 2^k$ , due to recursive arguments).

It maps a vector of length *n* onto the values of the polynomial generated by this set of coefficients, over the unit roots of order *n* on the unit circle (hence it is a Vandermonde matrix). It is a unitary matrix (up to the factor  $1/\sqrt{n}$ ) and maps pure frequencies onto unit vectors (engineers talk of *energy preservation*).



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If we define the Fourier transform for functions on  $\mathbb{R}^d$  using an integral transform, then it is useful to assume that  $f \in L^1(\mathbb{R}^d)$ , i.e. that f belongs to the space of Lebesgues integrable functions.

$$\hat{f}(\omega) = \int_{\mathbb{R}^d} f(t) \cdot e^{-2\pi i \omega \cdot t} dt$$
 (4)

The inverse Fourier transform then has the form

$$f(t) = \int_{\mathbb{R}^d} \widehat{f}(\omega) \cdot e^{2\pi i t \cdot \omega} \, d\omega, \qquad (5)$$

Strictly speaking this inversion formula only makes sense under the additional hypothesis that  $\hat{f} \in L^1(\mathbb{R}^d)$ . One speaks of Fourier analysis as the first step, and Fourier inversion as a method to build f from the pure frequencies : Fourier synthesis.

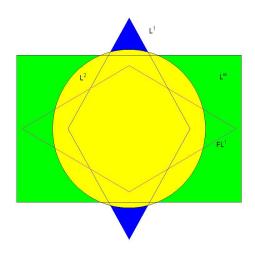
Unfortunately the Fourier transform does not behave well with respect to  $L^1$ , and a lot of functional analysis went into fighting the problems (or should we say symptoms?)

- For  $f \in L^1(\mathbb{R}^d)$  we have  $\hat{f} \in C_0(\mathbb{R}^d)$  (but not conversely, nor can we guarantee  $\hat{f} \in L^1(\mathbb{R}^d)$ );
- ② The Fourier transform f on L<sup>1</sup>(ℝ<sup>d</sup>) ∩ L<sup>2</sup>(ℝ<sup>d</sup>) is isometric in the L<sup>2</sup>-sense, but the Fourier integral cannot be written anymore;
- Convolution and pointwise multiplication correspond to each other, but sometimes the convolution may have to be taken as improper integral, or using summability methods;
- $L^{p}$ -spaces have traditionally a high reputation among function spaces, but tell us little about  $\hat{f}$ .



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#### A schematic description of the situation





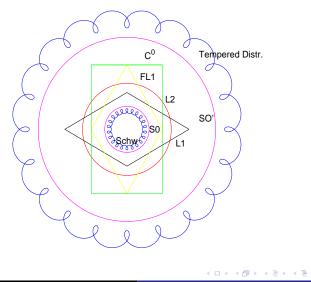
The usual way out of this problem zone is to introduce generalized functions. In order to do so one has to introduce test functions, and give them a reasonable topology (family of seminorms), so that it makes sense to separate the *continuous* linear functionals from the pathological ones. The "good ones" are admitted and called generalized functions, since most reasonable ordinary functions can be identified (uniquely) with a generalized function (much as 5/7 is a complex number!). If one wants to have Fourier invariance of the space of distributions, one must Fourier invariance of the space of test

functions (such as  $\mathcal{S}(\mathbb{R}^d)$ ). If one wants to have - in addition - also closedness with respect to differentiation one has to take more or less  $\mathcal{S}(\mathbb{R}^d)$ . But there are easier alternatives.



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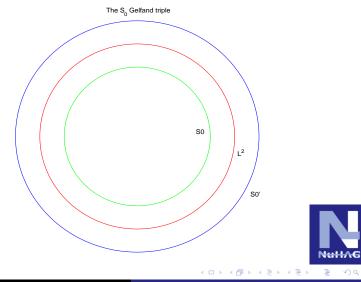
## A schematic description of the situation



Without differentiability there is a minimal, Fourier and isometrically translation invariant Banach space (called  $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$  or  $(\mathbf{M}^1(\mathbb{R}^d), \|\cdot\|_{\mathbf{M}^1})$ ), which will serve our purpose. Its dual space  $(\mathbf{S}_0'(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0'})$  is correspondingly the largest among all Fourier invariant and isometrically translation invariant "objects" (in fact so-called local pseudo-measures or quasimeasures, orginally introduced in order to describe translation invariant systems as convolution operators).

Although there is a rich zoo of Banach spaces around (one can choose such a family, the so-called Shubin classes - to intersect in the Schwartz class and their union is corresondingly  $\mathcal{S}'(\mathbb{R}^d)$ ), we will restrict ourselves to Banach Gelfand Triples, mostly related to  $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^d)$ .

## repeated: SOGELFTR



## The key-players for time-frequency analysis

### Time-shifts and Frequency shifts

$$T_x f(t) = f(t-x)$$

and  $x, \omega, t \in \mathbb{R}^d$ 

$$M_{\omega}f(t)=e^{2\pi i\omega\cdot t}f(t)$$
.

Behavior under Fourier transform

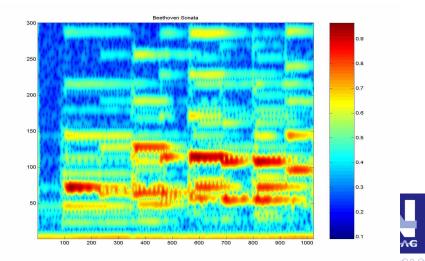
$$(T_x f)^{\hat{}} = M_{-x} \hat{f} \qquad (M_\omega f)^{\hat{}} = T_\omega \hat{f}$$

The Short-Time Fourier Transform

$$V_{g}f(\lambda) = \langle f, \underline{M}_{\omega} T_{t}g \rangle = \langle f, \pi(\lambda)g \rangle = \langle f, g_{\lambda} \rangle, \ \lambda = (t, \omega)$$



## A Typical Musical STFT



Hans G. Feichtinger What are FUNCTION SI

What are FUNCTION SPACES good for? [for pseudo-differen

A function in  $f \in L^2(\mathbb{R}^d)$  is in the subspace  $S_0(\mathbb{R}^d)$  if for some non-zero g (called the "window") in the Schwartz space  $S(\mathbb{R}^d)$ 

$$\|f\|_{\mathcal{S}_0} := \|V_g f\|_{\mathbf{L}^1} = \iint_{\mathbb{R}^d \times \widehat{\mathbb{R}}^d} |V_g f(x, \omega)| dx d\omega < \infty.$$

The space  $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$  is a Banach space, for any fixed, non-zero  $g \in \mathbf{S}_0(\mathbb{R}^d)$ ), and different windows g define the same space and equivalent norms. Since  $\mathbf{S}_0(\mathbb{R}^d)$  contains the Schwartz space  $\mathcal{S}(\mathbb{R}^d)$ , any Schwartz function is suitable, but also compactly supported functions having an integrable Fourier transform (such as a trapezoidal or triangular function) are suitable. It is convenient to use the Gaussian as a window.

#### Lemma

Let  $f \in \mathbf{S}_0(\mathbb{R}^d)$ , then the following holds: (1)  $\pi(u,\eta)f \in \mathbf{S}_0(\mathbb{R}^d)$  for  $(u,\eta) \in \mathbb{R}^d \times \widehat{\mathbb{R}}^d$ , and  $\|\pi(u,\eta)f\|_{\mathbf{S}_0} = \|f\|_{\mathbf{S}_0}$ . (2)  $\hat{f} \in \mathbf{S}_0(\mathbb{R}^d)$ , and  $\|\hat{f}\|_{\mathbf{S}_0} = \|f\|_{\mathbf{S}_0}$ .

In fact,  $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$  is the smallest non-trivial Banach space with this property, and therefore contained in any of the  $\mathbf{L}^p$ -spaces (and their Fourier images).

There are many other independent characterization of this space, spread out in the literature since 1980, e.g. atomic decompositions using  $\ell^1$ -coefficients, or as  $W(\mathcal{F}L^1, \ell^1) = M^0_{1,1}(\mathbb{R}^d)$ .

It is probably no surprise to learn that the dual space of  $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$ , i.e.  $\mathbf{S}'_0(\mathbb{R}^d)$  is the *largest* (reasonable) Banach space of distributions (in fact local pseudo-measures) which is isometrically invariant under time-frequency shifts  $\pi(\lambda), \lambda \in \mathbb{R}^d \times \widehat{\mathbb{R}}^d.$ As an amalgam space one has  $\mathbf{S}_0'(\mathbb{R}^d) = \mathbf{W}(\mathcal{F}\mathbf{L}^1, \ell^1)' = \mathbf{W}(\mathcal{F}\mathbf{L}^\infty, \ell^\infty)(\mathbb{R}^d)$ , the space of translation bounded quasi-measures, however it is much better to think of it as the modulation space  $\mathbf{M}^{\infty}(\mathbb{R}^d)$ , i.e. the space of all tempered distributions on  $\mathbb{R}^d$  with bounded Short-time Fourier transform (for an arbitrary  $0 \neq g \in \mathbf{S}_0(\mathbb{R}^d)$ ). Consequently norm convergence in  $S_0(\mathbb{R}^d)$  is just uniform convergence of the STFT, while certain atomic characterization  $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$  imply that  $w^*$ -convergence is in fact equivalent to locally uniform convergence of the STFT. - Hifi recordings!

### Definition

A triple, consisting of a Banach space **B**, which is dense in some Hilbert space  $\mathcal{H}$ , which in turn is contained in **B**' is called a Banach Gelfand triple.

### Definition

If  $(\mathbf{B}_1, \mathcal{H}_1, \mathbf{B}'_1)$  and  $(\mathbf{B}_2, \mathcal{H}_2, \mathbf{B}'_2)$  are Gelfand triples then a linear operator  $\mathcal{T}$  is called a [unitary] Gelfand triple isomorphism if

- **1** A is an isomorphism between  $\mathbf{B}_1$  and  $\mathbf{B}_2$ .
- A is [a unitary operator resp.] an isomorphism between H<sub>1</sub> and H<sub>2</sub>.
- A extends to a weak\* isomorphism as well as a norm-to-norm continuous isomorphism between B'<sub>1</sub> and B'<sub>2</sub>.

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In principle every CONB (= complete orthonormal basis)  $\Psi = (\psi_i)_{i \in I}$  for a given Hilbert space  $\mathcal{H}$  can be used to establish such a unitary isomorphism, by choosing as **B** the space of elements within  $\mathcal{H}$  which have an absolutely convergent expansion, i.e. satisfy  $\sum_{i \in I} |\langle x, \psi_i \rangle| < \infty$ .

For the case of the Fourier system as CONB for  $\mathcal{H} = L^2([0,1])$ , i.e. the corresponding definition is already around since the times of N. Wiener:  $\mathbf{A}(\mathbb{U})$ , the space of absolutely continuous Fourier series. It is also not surprising in retrospect to see that the dual space  $\mathbf{PM}(\mathbb{U}) = \mathbf{A}(\mathbb{U})'$  is space of *pseudo-measures*. One can extend the classical Fourier transform to this space, and in fact interpret this extended mapping, in conjunction with the classical Plancherel theorem as the first unitary Banach Gelfand triple isomorphism, between  $(\mathbf{A}, \mathbf{L}^2, \mathbf{PM})(\mathbb{U})$  and  $(\ell^1, \ell^2, \ell^\infty)(\mathbb{Z})$ . Among the many different orthonormal bases the wavelet bases turn out to be exactly the ones which are well suited to characterize the distributions by their membership in the classical Besov-Triebel-Lizorkin spaces.

For the analogue situation (using the modulation operator instead of the dilation, resp. the Heisenberg group instead of the

"ax+b"-group) on finds that *local Fourier bases* resp. the so-called Wilson-bases are the right tool. They are formed from tight Gabor frames of redundancy 2 by a particular way of combining complex exponential functions (using Euler's formula) to cos and sin functions in order to build a Wilson ONB for  $L^2(\mathbb{R}^d)$ . In this way another BGT-isomorphism between  $(S_0, L^2, S_0')$  and  $(\ell^1, \ell^2, \ell^\infty)$  is given, for each concrete Wilson basis.

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The Fourier transform  $\mathcal{F}$  on  $\mathbb{R}^d$  has the following properties:

- $\mathcal{F}$  is an isomorphism from  $S_0(\mathbb{R}^d)$  to  $S_0(\widehat{\mathbb{R}}^d)$ ,
- **2**  $\mathcal{F}$  is a unitary map between  $L^2(\mathbb{R}^d)$  and  $L^2(\widehat{\mathbb{R}}^d)$ ,
- $\mathcal{F}$  is a weak\* (and norm-to-norm) continuous bijection from  $\mathbf{S}'_0(\mathbb{R}^d)$  onto  $\mathbf{S}'_0(\widehat{\mathbb{R}}^d)$ .

Furthermore, we have that Parseval's formula

$$\langle f,g \rangle = \langle \widehat{f},\widehat{g} \rangle$$
 (6)

is valid for  $(f,g) \in S_0(\mathbb{R}^d) \times S'_0(\mathbb{R}^d)$ , and therefore on each level of the Gelfand triple  $(S_0, L^2, S'_0)(\mathbb{R}^d)$ .

It is not difficult to show, that the norms of  $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^d)$ correspond to norm convergence in  $(\mathbf{L}^1, \mathbf{L}^2, \mathbf{L}^\infty)(\mathbb{R}^{2d})$ . The FOURIER transform, viewed as a BGT-automorphism is uniquely determined by the fact that it maps pure frequencies onto the corresponding point measures  $\delta_\omega$ .

This is a typical case, where we can see, that the  $w^*$ -continuity plays a role, and where the fact that  $\delta_x \in \mathbf{S}_0'(\mathbb{R}^d)$  as well as  $\chi_s \in \mathbf{S}_0'(\mathbb{R}^d)$  are important.

In the STFT-domain the  $w^*$ -convergence has a particular meaning: a sequence  $\sigma_n$  is  $w^*$ -convergent to  $\sigma_0$  if  $V_g(\sigma_n)(\lambda) \to V_g(\sigma_0)(\lambda)$ uniformly over compact subsets of the TF-plane (for one or any  $g \in \mathbf{S}_0(\mathbb{R}^d)$ ).

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Wiener's inversion theorem:

### Theorem

Assume that  $h \in \mathbf{A}(\mathbb{U})$  is free of zeros, i.e. that  $h(t) \neq 0$  for all  $t \in \mathbb{U}$ . Then the function g(t) := 1/h(t) belongs to  $\mathbf{A}(\mathbb{U})$  as well.

The proof of this theorem is one of the nice applications of a spectral calculus with methods from Banach algebra theory. This result can be reinterpreted in our context as a results which states:

Assume that the pointwise multiplication operator  $f \mapsto h \cdot f$  is invertible as an operator on  $(\mathbf{L}^2(\mathbb{U}), \|\cdot\|_2)$ , and also a BGT-morphism on  $(\mathbf{A}, \mathbf{L}^2, \mathbf{PM})$  (equivalent to the assumption  $h \in \mathbf{A}(\mathbb{U})$ !), then it is also continuously invertible as BGT-morphism.



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In the setting of  $(\bm{S}_0,\bm{L}^2,\bm{S}_0')$  a quite similar results is due to Gröchenig and coauthors:

### Theorem

Assume that for some  $g \in \mathbf{S}_0$  the Gabor frame operator  $S : f \mapsto \sum_{\lambda \in \Lambda} \langle f, g_\lambda \rangle g_\lambda$  is invertible at the Hilbert space level, then S defines automatically an automorphism of the BGT ( $\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}'_0$ ). Equivalently, when  $g \in \mathbf{S}_0$  generates a Gabor frame  $(g_\lambda)$ , then the dual frame (of the form  $(\tilde{g}_\lambda)$ ) is also generated by the element  $\tilde{g} = S^{-1}(g) \in \mathbf{S}_0$ .

The first version of this result has been based on matrix-valued versions of Wiener's inversion theorem, while the final result (due to Gröchenig and Leinert, see [10]) makes use of the concept of symmetry in Banach algebras and Hulanicki's Lemma.

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### Theorem (Theorem by S. Banach)

Assume that a linear mapping between two Banach spaces is continuous, and invertible as a mapping between sets, then it is automatically an isomorphism of Banach spaces, i.e. the inverse mapping is automatically linear and continuous.

So we have invertibility only in a more comprehensive category, and want to conclude invertibility in the given smaller (or richer) category of objects.



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The paper [9]: Gabor frames without inequalities Int. Math. Res. Not. IMRN, No.23, (2007) contains another collection of statements, showing the strong analogy between a finite-dimensional setting and the setting of Banach Gelfand triples: The main result (Theorem 3.1) of that paper shows, that the Gabor frame condition (which at first sight looks just like a two-sided norm condition) is in fact equivalent to injectivity of the analysis mapping (however at the "outer level", i.e. from  $S_0'(\mathbb{R}^d)$ into  $\ell^{\infty}(\mathbb{Z}^d)$ ), while it is also equivalent to surjectivity of the synthesis mapping, but this time from  $\ell^1(\mathbb{Z}^d)$  onto  $S_0(\mathbb{R}^d)$ .



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### Theorem

If K is a bounded operator from  $S_0(\mathbb{R}^d)$  to  $S'_0(\mathbb{R}^d)$ , then there exists a unique kernel  $k \in S'_0(\mathbb{R}^{2d})$  such that  $\langle Kf, g \rangle = \langle k, g \otimes f \rangle$  for  $f, g \in S_0(\mathbb{R}^d)$ , where  $g \otimes f(x, y) = g(x)f(y)$ .

Formally sometimes one writes by "abuse of language"

$$Kf(x) = \int_{\mathbb{R}^d} k(x,y)f(y)dy$$

with the understanding that one can define the action of the functional  $Kf \in \mathbf{S}_0'(\mathbb{R}^d)$  as

$$Kf(g) = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} k(x, y) f(y) dy g(x) dx = \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} k(x, y) g(x) f(y) dx dy.$$

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## Kernel Theorem II: Hilbert Schmidt Operators

This result is the "outer shell" of the Gelfand triple isomorphism. The "middle = Hilbert" shell which corresponds to the well-known result that Hilbert Schmidt operators on  $L^2(\mathbb{R}^d)$  are just those compact operators which arise as integral operators with  $L^2(\mathbb{R}^{2d})$ -kernels. The complete picture can be best expressed by a unitary Gelfand triple isomorphism. First the innermost shell:

#### Theorem

The classical kernel theorem for Hilbert Schmidt operators is unitary at the Hilbert spaces level, with  $\langle T, S \rangle_{\mathcal{HS}} = \text{trace}(T * S')$ as scalar product on  $\mathcal{HS}$  and the usual Hilbert space structure on  $L^2(\mathbb{R}^{2d})$  on the kernels. An operator T has a kernel in  $K \in S_0(\mathbb{R}^{2d})$  if and only if the T maps  $S_0'(\mathbb{R}^d)$  into  $S_0(\mathbb{R}^d)$ , boundedly, but continuously also from  $w^*$ -topology into the norm topology of  $S_0(\mathbb{R}^d)$ . Remark: Note that for such regularizing kernels in  $K \in \mathbf{S}_0(\mathbb{R}^{2d})$  the usual identification. Recall that the entry of a matrix  $a_{n,k}$  is the coordinate number n of the image of the n-th unit vector under that action of the matrix  $A = (a_{n,k})$ :

$$k(x,y) = T(\delta_y)(x) = \delta_x(T(\delta_y)).$$

Note that  $\delta_y \in \mathbf{S}'_0(\mathbb{R}^d)$  implies that  $K(\delta_y) \in \mathbf{S}_0(\mathbb{R}^d)$  by the regularizing properties of K, hence the pointwise evaluation makes sense.

With this understanding the kernel theorem provides a (unitary) isomorphism between the Gelfand triple (of kernels)

 $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^{2d})$  into the Gelfand triple of operator spaces

$$(\mathcal{L}(\boldsymbol{S}_{0}^{\prime},\boldsymbol{S}_{0}),\mathcal{HS},\mathcal{L}(\boldsymbol{S}_{0},\boldsymbol{S}_{0}^{\prime})).$$

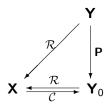


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How should we realize these various BGT-mappings? Recall: How can we check numerically that  $e^{2\pi i} = 1$ ?? Note: we can only do our computations (e.g. multiplication, division etc.) properly in the rational domain  $\mathbb{Q}$ , we get to  $\mathbb{R}$  by approximation, and then to the complex numbers applying "the correct rules" (for pairs of real numbers). In the BGT context it means: All the (partial) Fourier transforms, integrals etc. only have to be meaningful at the  $S_0$ -level!!! (no Lebesgue even!), typically isometric in the  $L^2$ -sense, and extend by duality considerations to  $S_0'$  when necessary, using w\*-continuity! The Fourier transform is a good example (think of Fourier inversion and summability methods), similar arguments apply to the transition from the integral kernel of a linear mapping to it Kohn-Nirenberg symbol., e.g.,

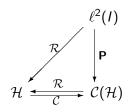
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$$\label{eq:product} \begin{split} \textbf{P} &= \mathcal{C} \circ \mathcal{R} \text{ is a projection in } \textbf{Y} \text{ onto the range } \textbf{Y}_0 \text{ of } \mathcal{C} \text{, thus we} \\ \text{have the following commutative diagram.} \end{split}$$





## The frame diagram for Hilbert spaces:

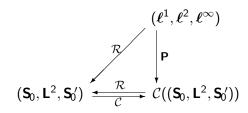




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# The frame diagram for Hilbert spaces $(S_0, L^2, S_0')$ :





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Assume that  $g \in \mathbf{S}_0(\mathbb{R}^d)$  is given and some lattice  $\Lambda$ . Then  $(g, \Lambda)$  generates a Gabor frame for  $\mathcal{H} = \mathbf{L}^2(\mathbb{R}^d)$  if and only if the coefficient mapping  $\mathcal{C}$  from  $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^d)$  into  $(\ell^1, \ell^2, \ell^\infty)(\Lambda)$  as a left inverse  $\mathcal{R}$  (i.e.  $\mathcal{R} \circ \mathcal{C} = Id_{\mathcal{H}}$ ), which is also a GTR-homomorphism back from  $(\ell^1, \ell^2, \ell^\infty)$  to  $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')$ . In practice it means, that the dual Gabor atom  $\tilde{g}$  is also in  $\mathbf{S}_0(\mathbb{R}^d)$ , and also the canonical tight atom  $S^{-1/2}$ , and therefore the whole procedure of taking coefficients, perhaps multiplying them with some sequence (to obtain a Gabor multiplier) and resynthesis is well defined and a BGT-morphism for any such pair.



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Much in the same way as basis in  $\mathbb{C}^n$  are used in order to describe linear mappings as matrices we can also use Gabor frame expansions in order to describe (and analyze resp. better understand) certain linear operators  $\mathcal{T}$  (slowly variant channels, operators in Sjoestrand's class, connected with another family of modulation spaces) by their frame matrix expansion. Working (for convenience) with a Gabor frame with atom  $g \in \mathbf{S}_0(\mathbb{R}^d)$  (e.g. Gaussian atom, with  $\Lambda = a\mathbb{Z} \times b\mathbb{Z}$ ), and form for  $\lambda, \mu \in \Lambda$  the infinite matrix

$$a_{\lambda,\mu} := [T(\pi(\lambda)g)](\pi(\mu)g).$$

This makes sense even if T maps only  $S_0(\mathbb{R}^d)$  into  $S_0'(\mathbb{R}^d)$ !



For any good Gabor family (tight or not) the mapping  $T \mapsto \mathbf{A} = (a_{\lambda,\mu})$  is it self defining a frame representation, hence a retract diagram, from the operator BGT  $(\mathbf{B}, \mathcal{H}, \mathbf{B}')$  into the  $(\ell^1, \ell^2, \ell^\infty)$  over  $\mathbb{Z}^{2d}$ ! In other words, we can recognize whether an operator is regularizing, i.e. maps  $\mathbf{S}'_0(\mathbb{R}^d)$  into  $\mathbf{S}_0(\mathbb{R}^d)$  (with *w*\*-continuity) if and only if the matrix has coefficients in  $\ell^1(\mathbb{Z}^{2d})$ . Note however, that invertibility of T is NOT equivalent to invertibility of  $\mathbf{A}$ ! (one has to take the pseudo-inverse).



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## The Spreading Representation

The kernel theorem corresponds of course to the fact that every linear mapping T from  $\mathbb{C}^n$  to  $\mathbb{C}^n$  can be represented by a uniquely determined matrix  $\mathbf{A}$ , whose columns  $\mathbf{a}_k$  are the images  $T(\vec{e}_k)$ . When we identify  $\mathbb{C}^N$  with  $\ell^2(\mathbf{Z}_N)$  (as it is suitable when interpreting the FFT as a unitary mapping on  $\mathbb{C}^N$ ) there is another way to represent every linear mapping: we have exactly N cyclic shift operators and (via the FFT) the same number of frequency shifts, so we have exactly  $N^2$  TF-shifts on  $\ell^2(\mathbf{Z}_N)$ . They even form an orthonormal system with respect to the Frobenius norm, coming from the scalar product

$$\langle \mathbf{A}, \mathbf{B} 
angle_{Frob} := \sum_{k,j} a_{k,j} \overline{b}_{k,j} = trace(A * B')$$

This relationship is called the spreading representation of the linear mapping T resp. of the matrix **A**. It can be thought as a kind of operator version of the Fourier transform.



### Theorem

There is a natural (unitary) Banach Gelfand triple isomorphism, called the spreading mapping, which assigns to operators T from  $(\mathbf{B}, \mathcal{H}, \mathbf{B}')$  the function or distribution  $\eta(T) \in (\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^{2d})$ . It is uniquely determined by the fact that  $T = \pi(\lambda) = M_{\omega}T_t$  corresponds to  $\delta_{t,\omega}$ .

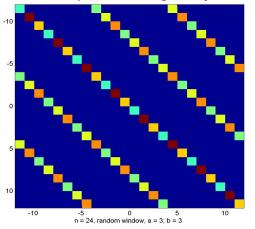
Via the symplectic Fourier transform, which is of course another unitary BGT-automorphism of  $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^{2d})$  we arrive at the Kohn-Nirenberg calculus for pseudo-differential operators. In other words, the mapping  $\mathcal{T} \mapsto \sigma_{\mathcal{T}} = \mathcal{F}_{symp}\eta(\mathcal{T})$  is another unitary BGT isomorphism (onto  $(\mathbf{S}_0, \mathbf{L}^2, \mathbf{S}_0')(\mathbb{R}^{2d})$ , again).



The analogy between the ordinary Fourier transform for functions (and distributions) with the spreading representation of operators (from nice to most general within our context) has interesting consequences.

We know that  $\Lambda$ -periodic distributions are exactly the ones having a Fourier transform supported on the orthogonal lattice  $\Lambda^{\perp}$ , and periodizing an  $\mathbf{L}^1$ -function corresponds to sampling its FT. For operators this means: an operator T commutes with all operators  $\pi(\Lambda)$ , for some  $\Lambda \lhd \mathbb{R}^d \times \widehat{\mathbb{R}}^d$ , if and only if  $\operatorname{supp}(\eta(T)) \subset \Lambda^\circ$ , the adjoint lattice. The Gabor frame operator is the  $\Lambda$ -periodization of  $P_g : f \mapsto \langle f, g \rangle g$ , hence  $\eta(S)$  is obtained by multiplying  $\eta(P_g) = V_g(g)$  pointwise by  $\bigsqcup_{\Lambda^\circ} = \sum_{\lambda^\circ \in \Lambda^\circ} \delta_{\mu^\circ}$ .

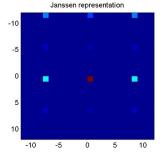
## Walnut Representation of the Gabor Frame Operator



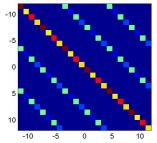
Walnut representation for the non-integer redundancy



## Walnut Representation and Spreading Representation



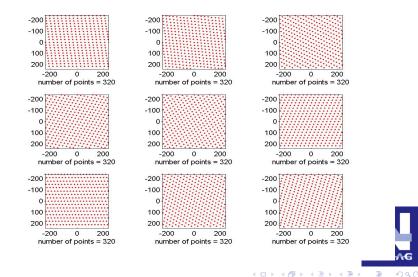
Walnut representation



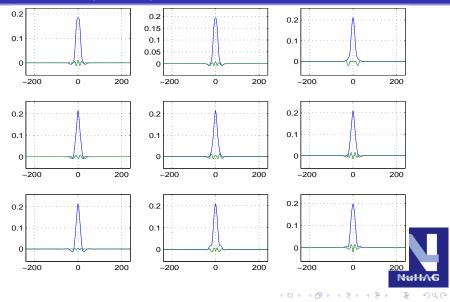


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### Nonseparable (regular) Gabor atoms

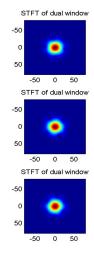


## Nonseparable (regular) tight Gabor atoms



## Nonseparable (regular) Gabor atoms

STFT of dual window -50 0 50 -50 0 50 STFT of dual window -50 0 50 -50 50 0 STFT of dual window -50 0 50 -50 0 50



STFT of dual window

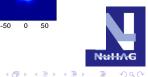


STFT of dual window



STFT of dual window





This observation is essentially explaining the Janssen representation of the Gabor frame operator (see [5]). Another analogy is the understanding that there is a class of so-called underspread operators, which are well suited to model slowly varying communication channels (e.g. between the basis station and your mobile phone, while you are sitting in the - fast moving - train).

These operators have a known and very limited support of their spreading distributions (maximal time- and Doppler shift on the basis of physical considerations), which can be used to "sample" the operator (pilot tones, channel identification) and subsequent decode (invert) it (approximately).

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One can however also fix the Gabor system, with both analysis and synthesis window in  $\mathbf{S}_0(\mathbb{R}^d)$  (typically one will take g and  $\tilde{g}$  respectively, or even more symmetrically a tight Gabor window). Then one can take the multiplier sequence in different sequence spaces, e.g. in  $(\ell^1, \ell^2, \ell^\infty)(\Lambda)$ .

### Lemma

Then the mapping from multiplier sequences to Gabor multipliers is a Banach Gelfand triple homomorphism into Banach Gelfand triple of operator ideals, consisting of the Schatten classe  $S_1 =$ trace class operators,  $\mathcal{H} = \mathcal{HS}$ , the Hilbert Schmidt operators, and the class of all bounded operators (with the norm and strong operator topology).

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In contrast to the pure Hilbert space case (the box-function is an ideal orthonormal system on the real line, but does *NOT allow* for any deformation, without loosing the property of being even a Riesz basis):

### Theorem (Fei/Kaiblinger, TAMS)

Assume that a pair  $(g, \Lambda)$ , with  $g \in \mathbf{S}_0(\mathbb{R}^d)$  defines a Gabor frame or a Gabor Riesz basis respectively [note that by Wexler/Raz and Ron/Shen these to situations are equivalent modulo taking adjoint subgroups!], then the same is true for slightly perturbed atoms or lattices, and the corresponding dual atoms (biorthogonal generators) depend continuously in the  $(\mathbf{S}_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}_0})$ -sense on both parameters.

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Thank you for your attention!

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Furthermore there are various talks given in the last few years on related topics (e.g. Gelfand triples), that can be found by searching by title or by name in <a href="http://www.univie.ac.at/nuhag-php/nuhag\_talks/">http://www.univie.ac.at/nuhag-php/nuhag\_talks/</a>

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