NuuLAC Numerical Harmonic Analysis Group

200 Years of Fourier Analysis: Fourier Analysis in the Modern World of Digital Signal Processing

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Addressing various topics of a quite general nature:

- Fourier Analysis is a mature (200 year old) subject of mathematics which is still going strong $> a$ suitable subject for a discussion of the development of mathematical ideas;
- Modern digital technologies depend heavily on the principles developed in connection with Fourier Analysis;
- Not always is the theory developed by mathematicians ready for applications, nor do applied scientists go deep enough in the mathematical analysis of their problems;
- Mathematics is not just the abstract and complicated subject as it is usually seen, and not just a collection of formulas.

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Jean Baptiste Josef Fourier: 1768 - 1830

https://en.wikipedia.org/wiki/Joseph Fourier

Dennis Gabor, born as Günszberg Denes, 1900-1979

https://en.wikipedia.org/wiki/Dennis Gabor

The IKIGAI Diagram for Conceptual Harmonic Analysis

According to WIKIPEDIA we have:

Ikigai can describe having a sense of purpose in life, as well as being motivated According to a study by Michiko Kumano, feeling ikigai as described in Japanese usually means the *feeling of* accomplishment and fulfillment that follows when people pursue their passions.

Activities that generate the feeling of ikigai are not forced on an individual; they are perceived as being spontaneous and undertaken willingly, and thus are personal and depend on a person's inner self. According to psychologist Katsuya Inoue, ikigai is a concept consisting of two aspects: "sources or objects that bring value or meaning to life" and "a feeling that one's life has value or meaning because of the existence of its source or object".

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The classical approach (going back to 1822) to the theory of FOURIER SERIES appears in the following form: Looking at the partial sums of the (formally then infinite) Fourier series we expect them to approximate "any periodic function" in some sense:

$$
s_N(x) = \frac{a_0}{2} + \sum_{n=1}^N [a_n \cos(2\pi nx) + b_n \sin(2\pi nx)].
$$
 (1)

Assuming this is possible it is not so hard to find out, using the properties of the building blocks $(cos(x), sin(x))$, addition rules, derivatives, integration) that one can expect for any $z \in \mathbb{R}$:

$$
a_n = \int_{z}^{z+1} f(x) \cos(2\pi nx) dx, \ b_n = \int_{z}^{z+1} f(x) \sin(2\pi nx) dx. \tag{2}
$$

Illustrating the Building Block: Pure Frequencies

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In my course on classical Fourier series I was taught that the representation

$$
f(x) \approx \frac{a_0}{2} + \sum_{n=1}^{\infty} [a_n \cos(2\pi nx) + b_n \sin(2\pi nx)]. \tag{3}
$$

should be taken only as a "formal expression", which has to be justified using complicated arguments and using a variety of strange tricks/methods!

But was does this mean?

What kind of concrete, mathematical questions should be asked? How are summability methods saving the situation?

Until now Fourier series are often seen as a mystery!

First of all we have to note that by the time (1822!!, which was at the life-time of Carl Friedrich Gauss! [1777-1855]) the modern concept of a function was not available yet. Thanks to Leonhard Euler ([1707 - 1783]) the complex numbers and their connection to trigonometric functions had been known

$$
e^{ix} = \cos(x) + i \sin(x), \quad i = \sqrt{-1}.
$$
 (4)

It was known what *polynomials* are and how to compute with them, and even to take "polynomials of infinite degree" (power series, with well defined regions of uniform convergence), hence Taylor expansions were known (going back to the English mathematician Brook Taylor [1685-1731]). Both methods constitute important parts of approximation theory.

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Complex numbers allow to use polynomials with complex coefficients a_0, \dots, a_n and complex argument $z \in \mathbb{C}$:

$$
p_a(z) = a_0 + a_1 z + \cdots + a_n z^n = \sum_{k=0}^n a_k z^k.
$$
 (5)

A *power series* is an infinite sum $\sum_{k=0}^{\infty}a_kz^k$, such as the series defining the exponential function which is convergent for any $z \in \mathbb{C}$

$$
e^z := 1 + z/1! + z^2/2! + ... = \sum_{k=0}^{\infty} \frac{z^k}{k!}
$$
 (6)

and satisfies the exponential law

$$
e^{z_1} \cdot e^{z_2} = e^{z_1 + z_2} \quad z_1, z_2 \in \mathbb{C}, \tag{7}
$$

one of the basic identities for (modern) Four[ier](#page-10-0) [Analysis.](#page-0-0)

Of course the determination of the coefficients using integrals (over the period of the involved functions) is one of the cornerstones of the classical theory, raising some questions:

- What is the meaning of an integral *in the most general case*?
- What kind of functions can be integrated (over $[a, b]$)?
- What can be said about the Fourier coefficients

 $(a_n)_{n>0}$ or $(b_n)_{n>1}$? (decay for $n \to \infty$, summability).

While the foundations of "calculus" had been laid down by Isaac Newton [1642 - 1726] and Gottfried Wilhelm Leibniz [1646 - 1716] long before Fourier it was Bernhard Riemann [1826 - 1866] who gave a clean definition and showed that e.g. every continuous function can be integrated over any interval $[a, b]$. He showed that the Fourier coefficients tend to zero $(n \to \infty)$.

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Another non-trivial part of the reasoning is the justification for the formula [??](#page-7-1). In fact, it is only a necessary condition on the coefficients which can be easily obtained, using integrals, telling us nothing about convergence.

AFTER FOURIER

Bernhard Riemann [1826 - 1866] Henri Leon Lebesgue [1875 - 1941] Norbert Wiener [1894 - 1964] Andre Weil [1906 1998]: AHA: Abstract Harmonic Analysis The natural setting for Fourier Analysis is to work with functions over LCA (= locally compact Abelian groups). Engineers talk about discrete and continuous, periodic and non-periodic signals and use the DFT/FFT! NHA!

Fourier History of in a Nutshell

- **1822: J.B.Fourier proposes: Every periodic function can be** expanded into a Fourier series using only pure frequencies;
- ² up to 1922: concept of functions developed, set theory, Lebesgue integration, $\bigl(\boldsymbol{L^2(\mathbb{R}),\|\cdot\|_2}\bigr);$
- \bullet first half of 20th century: Fourier transform for \mathbb{R}^d ;
- ⁴ A. Weil: Fourier Analysis on Locally Compact Abelian Groups;
- **6** L. Schwartz: Theory of Tempered Distributions
- **Cooley-Tukey (1965): FFT, the Fast Fourier Transform**
- **Q** L. Hörmander: Fourier Analytic methods for PDE (Partial Differential Equations);

By the beginning of the 20th century **Henri Leon Lebesgue** had developed his integral, and also given lectures on the application of this new techniques to trigonometric series. He published a number of important papers between 1904 and 1907.

From a modern (functional analytic) view-point his integral, which included the definition of the so-called Lebesgue spaces such as $\bigl(\bm{L}^1\!(\mathbb{R}^d),\,\|\cdot\|_1\bigr)$ or $\bigl(\bm{L}^2(\mathbb{R}^d),\,\|\cdot\|_2\bigr)$ (and of course later the L^p -theory, duality etc.) opened the way to the field of (linear) functional analysis, which developed rapidly, the foundations being lead by e.g. David Hilbert [1862 - 1943], Friedrich Riesz [1880 - 1956] and Stefan Banach [1892 - 1945].

Fourier Transform over the Real Line

The work of H.L. Lebesgue paved the way to a clean definition of the Fourier transform for "functions of a continuous variables" as an *integral transform* naturally defined on $\left(\boldsymbol{L^1(\mathbb{R}), \parallel \cdot \parallel_1}\right)$

$$
||f||_1 := \int_{\mathbb{R}} |f(x)| dx, \quad f \in L^1(\mathbb{R}). \tag{8}
$$

The (continuous) Fourier transform for $f\in \boldsymbol{L^1(\mathbb{R})}$ is given by:

$$
\hat{f}(s) := \int_{\mathbb{R}} f(x) e^{-2\pi i s x} dx, \quad s \in \mathbb{R}.
$$
 (9)

With this normalization the inverse Fourier transform looks similar, just with the conjugate exponent, and thus, *under the assumption* that f is continuous and $\hat{f} \in \bm{L}^1(\mathbb{R})$ we have pointwise

$$
f(t) = \int_{\mathbb{R}} \hat{f}(s) e^{2\pi i s t} ds.
$$
 (10)

Using the density of $\textbf{L}^1(\mathbb{R})\cap \textbf{L}^2(\mathbb{R})$ in $\left(\textbf{L}^2(\mathbb{R}),\|\cdot\|_2\right)$ it can be shown that the Fourier transform extends an a natural and unique way to $\bigl({\boldsymbol{L}}^2(\mathbb{R}),\: \|\cdot\|_2\bigr)$:

Theorem

The Fourier (-Plancherel) transform establishes a unitary automorphism of $\left(L^2(\mathbb{R}),\, \|\cdot\|_2\right)$, i.e. one has

$$
||f||_2 = ||\hat{f}||_2, \quad f \in L^2(\mathbb{R}),
$$

$$
\langle f,g\rangle=\langle \hat{f},\hat{g}\rangle,\quad f,g\in \mathbf{L}^2(\mathbb{R}).
$$

In some sense *unitary* transformations of a Hilbert transform is like a change form one ONB to another ONB in \mathbb{R}^n .

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This impression is confirmed by the "continuous representation" formula, using $\chi_{\mathfrak{s}} (\mathsf{x}) = e^{2\pi \mathsf{i} \mathsf{x} \mathsf{x}}, \; \mathsf{x}, \mathsf{s} \in \mathbb{R}.$ Since we have

$$
\hat{f}(\mathbf{s}) = \langle f, \chi_{\mathbf{s}} \rangle, \quad \mathbf{s} \in \mathbb{R},
$$

we can rewrite (formally) the Fourier inversion formula as

$$
f = \int_{\mathbb{R}} \langle f, \chi_s \rangle \chi_s, \quad f \in \mathcal{L}^2(\mathbb{R}). \tag{11}
$$

This looks like a perfect orthogonal expansion, but unfortunately the "building blocks" $\chi_s\notin \bm{L}^2(\mathbb{R})$!! (this requires f to be in $\mathcal{L}^1(\mathbb{R})$).

Another important fact about the Fourier transform is the so-called convolution theorem, i.e. the Fourier transform converts convolution into pointwise multiplication. Again it is natural to define convolution on $\bigl(\mathsf{L}^1(\mathbb{R}),\|\cdot\|_1\bigr)$:

$$
f * g(x) = \int_{\mathbb{R}} f(x - y)g(y)dy = \int_{\mathbb{R}} g(x - y)f(y)dy \text{ xa.e.; (12)}
$$

$$
||f * g||_1 \le ||f||_1 ||g||_1, \quad f, g \in L^1(\mathbb{R}).
$$

For positive functions f, g one even has equality. This is relevant for the determination of probability distributions of a sum of independent random variables. Assume X has density f and Y has density g then the random variable $X + Y$ has probability density distribution $f * g = g * f$.

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Theorem

Endowed with the bilinear mapping $(f, g) \rightarrow f * g$ the Banach space $(\boldsymbol{L^1(\mathbb{R}),\|\cdot\|_1})$ becomes a commutative Banach algebra with respect to convolution.

The **convolution theorem**, usually formulated as the identity

$$
\widehat{f*g} = \hat{f} \cdot \hat{g}, \quad f, g \in \mathcal{L}^1(\mathbb{R}), \tag{13}
$$

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L
AE

implies

Theorem

The Fourier algebra, defined as $\mathcal{F}L^1(\mathbb{R}) := \{ \hat{f} \mid f \in L^1(\mathbb{R}) \}$, with the norm $||f||_{\mathcal{F}^1} := ||f||_1$ is a Banach algebra, closed under conjugation, and dense in $(\mathcal{C}_0(\mathbb{R}),\|\cdot\|_\infty)$ (continuous functions, vanishing at infinity).

Jumping into the 40th of the last century one can say that **AHA Abstract Harmonic Analysis** was created, with $\mathbb R$ replaced by a general a general LCA (locally compact Abelian) group. In engineering terminology this allows to discuss continuous and discrete variables, but also periodic or non-periodic functions as functions on different groups, such as $\mathcal{G}=\mathbb{R}^d, \mathbb{Z}^d, \mathbb{Z}_N, \mathbb{T}^k$ etc., their product being called elementary groups.

The fundamental fact in all these cases is the existence of an translation for functions, defined as

$$
[T_z f](x) = f(x-z), x, z \in \mathcal{G},
$$

and the existence of an invariant integral, the so-called Haar measure (Alfred Haar, [1885 - 1933]).

Laurent Schwartz [1915 - 2002] is mostly known for having introduced the space of tempered distributions, a vector space of generalized functions or distributions, invariant under the Fourier transform. defined by the simple relation

$$
\hat{\sigma}(f):=\sigma(\widehat{f}),\quad f\in\mathcal{S}(\mathbb{R}^d).
$$

Just in order not to leave out an important mathematical application area of tempered distributions (and their generalizations) let us mention the work of Lars Hörmander [1931-2012] exploring Fourier methods for PDEs (partial differential operators). He as well as E. Stein [1931-2019] have developed Fourier Methods for the multi-dimensional setting.

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The Classical Setting of Test Functions & Distributions

Universe including SO and SOP

His construction vastly extends the domain of the Fourier transform and allows even polynomials to have a Fourier transform. Among the objects which can now be treated are also the Dirac measures $\delta_{{\sf x}},$ as well as $\bm{{\sf Dirac\; combs}}\sqcup \ \equivsum_{k\in \mathbb{Z}^d}\delta_k.$ Poisson's formula, which expresses that one has for $f \in \mathcal{S}(\mathbb{R}^d)$

 $t\Omega = 111$

$$
\sum_{k\in\mathbb{Z}^d} f(k) = \sum_{n\in\mathbb{Z}^d} \widehat{f}(n),\tag{14}
$$

can now be recast in the form

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This result is the key to prove Shannon's Sampling Theorem which is usually considered as the fundamental fact of digital signal processing (Claude Shannon: 1916 - 2001). Shannon's Theorem implies perfect reconstruction for band-limited functions, thus providing the mathematical basis for the technology of CD-players.

If the so-called Nyquist criterion is satisfied (sampling distance small enough), i.e. supp $(\hat{f})\subset [-1/\alpha,1/\alpha]$, then

$$
f(t) = \sum_{k \in \mathbb{Z}^d} f(\alpha k) g(x - \alpha k), \quad x \in \mathbb{R}^d.
$$
 (15)

A Visual Proof of Shannon's Theorem

Originally introduced as a tool that should allow to approximately compute Fourier integrals based on suitable discretization of the continuous function $(f\in \boldsymbol{L^1(\mathbb{R})})$ in 1965 (by Cooley and Tuckey at IMB), the FFT has become the backbone of *digital signal* processing.

Instead of providing a lot of formulas let us mention that one possible interpretation of the (linear) mapping $a \mapsto b := fft(a)$, from \mathbb{C}^N to \mathbb{C}^N .

The most useful interpretation of the *usual formula* is:

Convert the set of coefficients $\mathbf{a} = (a_k)_{k=0}^{N-1}$ to the sequence of values of the polynomial $p_a(z)$ over the unit roots of order N.

Unit roots of order 24: A Finite Abelian Group!

This interpretation explains various aspects of the DFT/FFT:

- \bullet The matrix representing the DFT/FFT is (up to scaling) just a unitary Vandermonde matrix; hence inversion is easy;
- ² The fast algorithm is based on group theoretical properties of the unit roots of order N if N is even, e.g. unit roots of order 24 are just two copies of unit roots of order 12;
- **3** Clearly pointwise multiplication of the values of the polynomials corresponds to the Cauchy product of the coefficients ("multiplying out rule for polynomials");
- **4** Sampling corresponds to *periodization* on the other side;
- **The FFT allows to compute the FT of discrete and** periodic signals exactly.

Perhaps you have to think a bit? But there are MANY opportunities, and few activities do not involve the use of FFT-based technology.

- **1** You use your mobile phone to communicate?
- 2 You listen to music? (MP3 or WAV-files);
- **3** You download images? (JPEG format);
- ⁴ Your computer communicates with your printer?
- **5** You watch digital videos (streaming)?
- **6** So how do the data reach your device?

The answer is: There is a lot if digital signal processing going on in the background, using the FFT (Fast Fourier Transform).

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A direct consequence of Shannon's Sampling Theorem (combined with laser techniques) is the availibility of CD players (and digital communication), using also coding theory:

Gabor Analysis in our kid's daily live (MP3)

The Windows Media Player allows to visualize music, e.g. like

Mobile Communication using Fourier Methods

We exchange WAV, MP3, JPG data and digital voice:

Medical Imaging using Tomographs

Medical Imaging using the Radon Transform

Mathematical key idea behind tomography

- • The tomographic device measures the attenuation of of X-rays through the tissue along many-many straight lines, between (rotating) X-ray source and sensor array;
- Different tissues have known absorption behaviour, thus attenuation indicates integrated density along lines;
- Mathematically speaking the task is the invert a sampled Radon transform which can be obtained from these data
- After regridding the data arising on a polar grid an IFFT2 provides one possible way to produce images (slices),
- Modern **Compressed Sensing** methods improve further

The Key-players for Time-Frequency Analysis (TFA)

Time-shifts and Frequency shifts (II)

$$
T_x f(t) = f(t-x)
$$

and $\mathsf{x}, \omega, \mathsf{t} \in \mathbb{R}^{d}$

$$
M_{\omega}f(t)=e^{2\pi i\omega\cdot t}f(t).
$$

Behavior under Fourier transform

$$
(T_x f)\hat{} = M_{-x} \hat{f} \qquad (M_{\omega} f)\hat{} = T_{\omega} \hat{f}
$$

The Short-Time Fourier Transform

$$
V_g f(\lambda) = \langle f, M_{\omega} T_t g \rangle = \langle f, \pi(\lambda) g \rangle = \langle f, g_{\lambda} \rangle, \lambda = (t, \omega);
$$

A Typical Musical STFT

A typical waterfall melody (Beethoven piano sonata) using the spectrogram: energy distribution in the $TF = time-frequency$ plan:

Time-Frequency and Musical Score

Time-Frequency Analysis and Music

メロメ メタメ メミメ メミメ $2Q$ 准

The Short-Time Fourier Transform of this Song

The computed spectrogram of this song.

つくい (ロ) (d) É - 418

This software is based on work of my former students (at ARI). An almost professional version allows to upload WAV files:

Motivated by Musical Score: Sliding FFT

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... and cut the signal into pieces

... and do localized spectra

MP3 is using the masking effect on those spectra!

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Dennis Gabor, 1900-1979, Physics Nobel Prize 1971

Dennis Gabor received the Nobel Prize for physics for his work on holography, which is somehow related to TF-analysis.

D.Gabor's Suggestion of 1946

Choose the Gauss-function, because it is the unique minimizer to the Heisenberg Uncertainty Relation and choose the critical, so-called von-Neumann lattice, which is simply $\mathbb{Z}^2.$

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D.Gabor's Suggestion of 1946, III

 -100 -50 $\overline{\circ}$ 50 100

D. Gabor proposed to use integer time and frequency shifts (which commute!) of the Gauss function and the TF-lattice $a\mathbb{Z} \times b\mathbb{Z}$, with $a = 1 = b$, based on the following arguments:

- **1** The Gauss function is optimally concentrated in the time-frequency sense;
- **2** If $ab > 1$ then the collection of (Gabor) atoms does not span $(L^2(\mathbb{R}), \|\cdot\|_2);$
- \bullet If ab $\lt 1$ then there is a kind of redundancy and consequently linear dependency (hence non-uniqueness of the coefficients);

From a modern point of view the case $ab < 1$ is suitable, one has to use minimal norm coefficients for uniqueness. On the other hand the case $ab > 1$ provides Riesz basic sequences which are useful for mobile communication.

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Mobile Communication: Slowly Varying Systems

Another usefulness of "sparsely distributed" Gabor systems comes from mobile communication:

- **1** Mobile channels can be modelled as slowly varying, or underspread operators (small support in spreading domain);
- **2** TF-shifted Gaussians are joint approximate eigenvectors to such systems, i.e. pass through was some attenuation only;
- **3** underspread operators can also be identified from transmitted pilot tones;
- **4** Communication should allow large capacity at high reliability.

Operating on the Audio Signal: Filter Banks

2D-Gabor Analysis: Test Images

Image Compression: a Test Image

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Showing the Elementary 2D-Building Blocks

Time-Frequency Analysis and Black Holes

Breaking News of Oct. 2017

In Oct. 3rd, 2017, the **Nobel Prize in Physics** was awarded to three physicists who have been key figure for the LIGO **Experiment** which led in the year 2016 to the detection of Gravitational Waves as predicted 100 years ago by Albert Einstein!

The Prize-Winners are

Rainer Weiss, Barry Barish und Kip Thorne.

They have supplied the key ideas to the so-called LIGO experiment which has meanwhile 4-times verified the existence of Gravitational waves by means of a huge laser-inferometric setup. The first detection took place in September 2016.

https://en.wikipedia.org/wiki/Gravitational-wave_ob

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The shape of gravitational waves

Einstein had predicted, that the shape of the gravitational wave of two collapsing black holes would be a chirp-like function, depending on the masses of the two objects.

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Aside from the experimental setup there is a huge signal processing task involved, comparable to the literal "needle in the haystack" problem.

There had been two strategies:

- Searching for 2500 explicitely determined wave-forms;
- Using a family of 14 orthonormal Wilson bases (a variant of Gabor Analysis);

The very **first** was detected by the second strategy, because the masses had been out of the expected range of the predetermined wave-forms.

NEW FINDINGS have been made in April of this year!

https://science.orf.at/stories/2979350/

K. Gröchenig: Foundations of Time-Frequency Analysis, 2001. H.G. Feichtinger and T. Strohmer: Gabor Analysis, 1998. H.G. Feichtinger and T. Str.: Advances in Gabor Analysis, 2003. G. Folland: Harmonic Analysis in Phase Space, 1989. A. Benyi and K. Okoudjou Modulation Spaces. With Applications to Pseudodifferential Operators and Nonlinear Schrödinger Equations, 2020. E. Cordero and L. Rodino Time-frequency Analysis of Operators

and Applications. 2020.

See also www.nuhag.eu/talks or www.nuhag.eu/ETH20 (ETH course by HGFei).

The question of Gabor frames is of interest, when a signal (say some audio signal, or some image, cf. introduction) is to be decomposed into meaningful elementary building blocks, somehow like *transcription*. Ideally the distribution of energy in the signal goes over into an equivalent energy distribution. AND WHAT can we do with this:

a) contributions may be irrelevant (or disturbing) and can be eliminated (the bird contributing to the open air classical concert): denoising of signals

b) signals can be separated in a TF-situation;

c) unimportant, small contributions can be omitted $(+)$ masking effect): allows for efficient lossy compression schemes $>>$ MP3. Obviously there is a long list of topics which can be connected with the topic of Fourier and Gabor Analysis:

- **1** Despite the fact that Fourier Analysis is well established mathematically we should change the perspective in teaching it, making it more application oriented, but still mathematically correct.
- ² Make use of modern tools (media, mathematical Software, like MATLAB or GEOGEBRA) and encourage mathematical experiments, already to high-school students.
- ³ Mathematicians and applied scientists should talk more to each other and explain their work.
- ⁴ A new, simplified theory of distributions ("mild ${\sf distributions}^{\nu}$, based on the Segal algebra $\textbf{\emph{S}}_{0}(\mathbb{R}^{d}))$ is on the way.

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The Simplified Situation

Feichtinger's algebra $(\textbf{S}_0(\mathbb{R}^d),\|\cdot\|_{\textbf{S}_0})$, the Hilbert space $(L^2(\mathbb{R}^d), \|\cdot\|_2)$ and the space $(\mathbf{S}'_0(\mathbb{R}^d), \|\cdot\|_{\mathbf{S}'_0})$ of mild distributions.

Figure: Rational/Real/Complex numbers resp.: (S0, Letters resp.: (S0, Letters resp.: (S0, Letters resp.: (S0, Hans G. Feichtinger

Thank you for your attention!!

More at www.nuhag.eu

Access to the collection of all Talks by HGFei can be obtain by request at hans.feichtinger@univie.ac.at as well as to all of the NuHAG publications.

